

**State of California  
2006/2007  
Annual Plan Update**

**ARNOLD SCHWARZENEGGER,  
GOVERNOR**



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HOUSING AGENCY**

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## **EXECUTIVE SUMMARY**

The 2006/2007 Annual Plan is the second of five annual plans to update the State of California's 2005/2010 Consolidated Plan addressing the State's housing and community development needs. The Consolidated Plan is the State's overall vision for community development using funds from the U.S. Department of Housing and Urban Development (HUD) and other sources.

The Consolidated Plan/Annual Plan Update is a requirement of the State as a prerequisite of receipt of the State's allocation of HUD funds for the following programs:

- Community Development Block Grant (CDBG)
- Emergency Shelter Grant (ESG)
- HOME Investment Partnership (HOME)
- Housing Opportunities for Persons Living with AIDS (HOPWA)
- Lead-Based Paint Hazard Control Program (LEAD)

The 2006/2007 Annual Plan Update:

- Summarizes the State's priorities and strategies for the delivery of funds for housing, homelessness, community development, lead abatement and housing for persons with special needs.
- Provides a platform of actions the State will initiate during the next year to further the goals and objectives of the Consolidated Plan.
- Explains the State's method for distributing CDBG, HOME, ESG, HOPWA and LEAD program funds.
- Provides opportunity for public input on the development of the Annual Plan.

## **PUBLIC PARTICIPATION PROCESS**

The State Department of Housing and Community Development (HCD) has the lead role in preparing the Consolidated Plan and its Annual Plan updates for the State of California. HCD solicits input from public, private, and nonprofit organizations, and other State agencies to prepare the Draft Annual Plan in accordance with the Citizen Participation Plan of the Consolidated Plan.

Consultation and citizen participation are both essential components of a statewide planning effort. The Citizen Participation Plan, as incorporated in the Consolidated Plan (page 85), sets the policy for involving citizens in the decision-making, review and comment process for the Annual Plan update. To encourage additional public input in the preparation of the Draft Annual Plan, public notices containing a description of the Draft Annual Plan Update and related amendments, inviting comments, and announcing public hearings were mailed directly to local governments and depository libraries, and placed on the HCD's website. Notices were also published in newspapers to notify the public of the Draft Annual Plan development process, timelines and participation options.

The Draft Annual Plan was available for comment from all interested parties for a 30-day period, April 1 – April 30, 2006. Four public hearings were held in Redding (4/7), Sacramento (4/18), Pico Rivera (4/25) and Visalia (4/27) to allow the public to respond, comment or ask questions in a public forum. To provide greater access to individuals with non-English background, HCD also provided the public notice in Spanish.

Copies of the Draft Annual Plan 2006/2007, and the 2005-2010 Consolidated Plan were available for review at HCD's Housing Resource Center and copies of the Public Notice were mailed to each individual program contactors and interested parties. Both publications were also available on HCD's website <http://www.hcd.ca.gov/hpd/hrc/rep/fed/> and were be available at public depository libraries as identified in the notice (see Appendix F).

A summary of HCD's responses to citizen comments in included as Appendix E.

## **AMENDMENTS TO THE 2005-2010 CONSOLIDATED PLAN**

### **Strategies and Outcome Measures (Consolidated Plan, Page 25)**

In accordance with the Final Rule (FR-4970-N-02) published by HUD on March 7, 2006 on the Outcome Performance Measurement System for community planning and development formula grant programs, the State will begin collecting information on the activities and indicators as outlined in the Consolidated Plan (pages 25-29) during FY 2006/2007. Reporting on indicators for eligible grantee activities will be included in the FY 2006/2007 Consolidated Annual Performance and Evaluation Report. Additional information is available in the individual program narratives beginning on page 7 of this document.

### **Citizen Participation Plan (Consolidated Plan, Page 87)**

As it pertains to "Citizen Participation Requirements for Local Governments Receiving CDBG (Section 91.115(e))" beginning on page 87 of 90 of the State's 2005-2010 Consolidated Plan, add a new section (4) in order to further the goals of the New Freedom Initiative, as follows:

- (4) In accordance with CPD Notice CPD-05-03, issued by the U.S. Department of Housing and Urban Development, Community Planning and Development, on June 6, 2005, regarding the New Freedom Initiative, local governments participating in the CDBG Program are encouraged to expand their outreach efforts to persons with disabilities. This expansion is intended to ensure that persons with disabilities are aware of the support that may be available to them through CDBG-assisted programs in the jurisdictions in which they reside. The State recommends that local government applicants and grantees of the State CDBG Program notify

and partner with local disability advocacy groups, Independent Living Centers (ILCs), and persons with disabilities to identify the needs of persons with disabilities and to determine how best to address the identified needs. The New Freedom Initiative is intended to remove the barriers to community living that are present in the lives of persons with disabilities.

All other information in the Consolidated Plan remains unchanged. A copy of the complete Consolidated Plan is available on HCD's website <http://www.hcd.ca.gov/hpd/hrc/rep/fed/conplan05-10final.pdf>.

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# Annual Plan Update FY 2006/2007

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## **Distribution of Federal Resources and Housing Goals for FY 2006/2007**

The FY 2006/2007 Annual Plan is the second of five annual plan updates to the State of California's 2005-2010 Consolidated Plan. The FY 2006/2007 Annual Plan sets the State's priorities and strategies for the delivery of funds and explains the State's method of distribution of CDBG, HOME, FESG, HOPWA and LEAD program funds.

The Department anticipates receiving a total \$112,532,846 in federal funds for FY 2006/2007 for the programs listed below. As in previous years, CDBG, HOME and ESG have previously committed to grantees portions of their 2006/2007 allocation during the 2005/2006 fiscal year. The amount of funds available for the CDBG, HOME, ADDI and FESG programs as allocated by HUD are less than the amounts received in FY 2005/2006 due to budget reductions at the federal level.

Community Development Block Grant (CDBG)	\$43,037,021
Home Investment Partnership Program (HOME)	\$55,932,660
American Dream Downpayment Initiative (ADDI)	\$935,371
Federal Emergency Shelter Grant (FESG)	\$6,698,794
Housing Opportunities for Persons with AIDS (HOPWA)	\$2,929,000
Lead Based Paint Hazard Control Program (LEAD)	\$3,000,000
<b>TOTAL</b>	<b>\$112,532,846</b>

## **Priority Housing Needs**

The State of California has several priorities that will be integrated through its housing and community development efforts during FY 2006/2007. These priorities have been developed through the analysis of housing needs and market analysis included in this Plan. These priorities and objectives are outlined in the following specific program narratives and will be updated as needed through the Annual Plan.

As is indicated in the identification of housing problems, renters represent a higher need group than owners in both total numbers and the percentage of households experiencing housing problems. Renters have median incomes just over half as high as owners; are predominantly low-income, and represent a majority of low-income households. Similarly, renters have much higher rates of overcrowding, more frequently have high cost burdens, and higher percentages live in substandard housing than owner households. Clearly, in setting priorities for housing assistance efforts, there is a priority need to address the housing problems of renters.

The State has identified all categories of households and housing assistance categories in the priority needs table below as high priority. The HUD definition of “high priority” for the purpose of completing the priority needs chart is that activities to address this need will be funded during the five-year period. All of these categories detailed below are of high priority in some eligible jurisdictions statewide, and are all expected to be funded.

2006/2007 Priority Housing Needs (Households)				
		Priority Need Level		Goals
Renter	Small Related	0-30%	High	177
		31-50%	High	157
		51-80%	High	130
	Large Related	0-30%	High	7
		31-50%	High	8
		51-80%	High	6
	Elderly	0-30%	High	4
		31-50%	High	4
		51-80%	High	6
	All Other	0-30%	High	17
		31-50%	High	38
		51-80%	High	36
TOTAL Renters			585	
Owner		0-30%	High	189
		31-50%	High	330
		51-80%	High	578
		TOTAL Owners		
Special Populations		0-80%	High	1,183
TOTAL Goals				2,915
TOTAL 215 Goals*				950

\* HOME funded projects must meet the Section 215 qualification as affordable housing for both rental and ownership units.

The goals column above presents an estimate of the number of households to be assisted with CDBG, HOME, ESG, HOPWA and LEAD funds in FY 2006/2007 including any nonfederal funds used in conjunction with federal funds. Actual numbers of households assisted in the various categories will be determined by the actual accomplishments reported by grantees. This number will be affected by the content of the applications received and the rating assigned using the applicable regulations and rating procedures as outlined for each individual program as well as local market conditions. The goal estimates above are not used in the rating process.

The table below summarizes the anticipated timing of Allocation funds and the application process for each of the HUD funded programs for FY 2006/2007.

<b>2006/2007 Anticipated Timing of Allocation of Funds Application Process</b>				
<b>NOFA</b>	<b>Workshops</b>	<b>Application Deadline(s)</b>	<b>Awards</b>	<b>Contracts</b>
<b>CDBG</b>				
<b>General Allocation</b> Issuance of NOFA is dependent on availability of sufficient funds				
Jan 10, 2005* April 7, 2006 **	Jan 25 – Feb 17, 2005 April/May 2006	April 8, 2005 June 2006	June/July 2005 September 2006	Dec 2005 – Feb 2006 December 2006
<b>Native American/Colonias Allocation</b>				
April 7, 2006 **	April/May 2006	June 2006	September 2006	December 2006
<b>ED Enterprise Fund</b>				
July 1, 2006	July 2006	October 2006	December 2006	February 2007
<b>ED Over-the-Counter</b>				
July 1, 2006	Dates TBD throughout the year	Continuous July 1, 2006 to Mid-March 2007	Continuous	Continuous
<b>Planning and Technical Assistance</b>				
April 1, 2006	None	September 2006 and continuous for OTC application preparation	November 2006 and continuous for OTC application preparation	December 2006 and continuous OTC application preparation
<b>ESG</b>				
March 6, 2006	March 9 & 15, 2006	April 25, 2006	August 10, 2006	October 1, 2006
<b>HOME and ADDI</b>				
May 15, 2006	June 2006	August 15, 2006	October 16, 2006 (programs) Nov 15, 2006 (projects)****	February 2007
<b>HOPWA</b>				
April 11, 2006	None	May 4, 2006	May 31, 2006	July 1, 2006

\* Under this NOFA CDBG reserved all of its 2006/2007 General Allocation funds

\*\* Under this NOFA CDBG expects to award funds received prior to 2006/2007 and to reserve funds received since 2006/2007

\*\*\* Under this NOFA CDBG expects to award 2006/2007 funds that were not reserved under the previous NOFA

\*\*\*\* Before award announcement, HOME and ADDI projects must be approved by HCD's Loan & Grant Committee

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## **Community Development Block Grant (CDBG)**

The State of California CDBG Program is anticipated to receive \$43,037,021 from HUD for FY 2006/2007. The CDBG Program intends to make the allocated funds available to non-entitlement jurisdictions along with any disencumbered funds that become available.

### **Program Goals & Objectives**

- To develop owner-occupied rehabilitation program guidelines and review checklist example for users of the State's CDBG, HOME and CalHome programs.
- To implement a new component of the Economic Development (ED) Planning and Technical Assistance program to allow the continuous submittal of applications to prepare applications and pre-applications for the ED Over-the-Counter Program.
- To evaluate the CDBG economic development programs and to implement changes to make them more business-friendly.
- In order to improve the State's CDBG expenditure rate, encourage the use of the lump-sum drawdown process for housing rehabilitation programs, primarily through awarding State Objective points to applicants that propose to use this process.
- To shorten the amount of time it takes HCD to issue multi-year contracts.
- To assist grantee jurisdictions in administering CDBG projects and programs designed to address significant community development needs that were locally-determined and reviewed by HCD.
- To encourage and assist communities to focus upon and address housing, community facility, and public service activities; and to pursue economic development and commercial revitalization activities through public/private investment initiatives that will result in the development and expansion of job opportunities within the State.

### **Eligible Jurisdictions**

Eligible jurisdictions include any federal non-entitlement general purpose units of local government (i.e., cities and counties including any which have opted out of the federal Urban County Program). California's State CDBG Program will have 173 eligible jurisdictions in FY 2006/2007.

Eligible jurisdictions include the unincorporated portions of some of the State's 58 counties and some of the State's 478 cities. The primary determining factor for whether a county or city is eligible is population. Counties with an unincorporated area population of fewer than 200,000 are eligible, and cities with a population of under 50,000 are eligible if they are not receiving CDBG funds through an Urban County agreement, or from HUD as a metropolitan city or entitlement jurisdiction. The eligible jurisdictions are concentrated in central and

northern California. Eligible jurisdictions range in population from a city with fewer than 500 people to a county with an unincorporated area population of over 173,000. Information on eligible jurisdictions including current population and population increase between 2000 and 2005 is included in Appendix K of the State's 2005-2010 Consolidated Plan.

The following table illustrates four previous years of CDBG activity among the program's eligible jurisdictions (complete information detailing the participation of each eligible jurisdiction is included as Appendix J of the 2005-2010 Consolidated Plan). The General/Native American and Colonias program is not planning to undertake a significant marketing effort during program year 2006/2007 because few funds will be available to award/obligate due to having reserved most of the funds in the previous year. The Economic Development Allocation is planning a marketing effort for its undersubscribed OTC Component, to include a series of "Art of the Deal" workshops throughout the State, and visits to eligible jurisdictions. The CDBG Program also plans to increase communication with eligible jurisdictions and interested parties through its new CDBG Newsletter.

	<b>FY 01/02</b>	<b>FY 02/03</b>	<b>FY 03/04</b>	<b>FY 04/05</b>
Total Eligible CDBG Jurisdictions	185	184	180	177
# Funded Jurisdictions	105	99	107	91
% Funded Jurisdictions	57%	54%	59%	52%
# Applied but not funded	20	6	7	30
% Applied but not funded	11%	3%	4%	17%
% of Applicants funded	84%	94%	94%	92%
# Did not apply	60	79	66	56
% Did not apply	32%	43%	37%	32%

## **Fund Allocation**

The State of California's FY 2006/2007 CDBG allocation from HUD is \$43,037,021. This represents an 8.1 percent (\$3,795,496) reduction from the State's FY 2005/2006 CDBG allocation level of \$46,832,517, and is due to a federal nationwide CDBG budget reduction. As of this writing, an additional 25 percent nationwide CDBG budget reduction is proposed, although not yet acted upon by Congress, for FY 2007/2008.



Administration: Consistent with 24 CFR 570.489, the State sets aside two percent of the annual allocation, plus \$100,000, plus two percent of reported program income from the most recent year reported for State administrative costs, equaling a minimum of \$1,415,188 for FY 2006/2007.

Technical Assistance: Under Title I, Section 106(d)(5), the State may allocate up to one percent of the annual CDBG award for technical assistance activities to local governments and nonprofit program recipients. The State will set aside one percent of the allocation for the State's provision of technical assistance, equaling \$430,370 for FY 2006/2007.

Colonias: Section 916 of the National Affordable Housing Act of 1990, as amended, established an annual set-aside for activities benefiting the residents of Colonias. In accordance with direction from HUD, the State will set aside for Colonias the anticipated five percent of the allocation, equaling \$2,151,851 for FY 2006/2007.

Native American Activities: Under California State Health and Safety Code Section 50831, the State annually sets aside one and a quarter percent of its CDBG award for grants on behalf of non-recognized tribes and rancherias. This amount will be \$537,963 for FY 2006/2007.

Economic Development (ED): Title 25 of the California Code of Regulations (CCR) Section 7062.1 requires HCD to set aside 30 percent of the annual federal CDBG award for ED activities. This amount will be \$2,911,106 for FY 2006/2007. California's Health and Safety Code also mandates how the ED set-aside dollars are to be allocated. The economic development distribution method is as follows:

1. Economic Development Planning and Technical Assistance (ED PTA): Health and Safety Code Section 50833(a), as amended effective January 1, 2006, states that HCD shall determine and announce in the NOFA the percentage of the ED set-aside that will be made available for PTA activities. This amount will be approximately \$1,600,000 for FY 2006/2007. The total \$1,600,000 for ED PTA represents 12.4 percent of the total ED Allocation, rather than the usual 10 percent. HCD will make approximately \$1,400,000 of these dollars available through a single-funding cycle. Funding decisions under this single-funding cycle are based on a first-come, first-served basis, applying eligibility threshold criteria.

Additionally, this year HCD will add a continuous, year-round, funding component in the amount of \$200,000 specifically to fund the preparation of ED Over-the-Counter (OTC) Component pre-applications and applications. This component will be referred to as the "PTA OTC Setaside". HCD will entertain applications on an ongoing basis, and make funding decisions as submitted applications are finalized and reviewed. However, the combined

maximum amount of ED PTA funds available to each applicant during the year is \$35,000; whether from the ED PTA single-funding round, the PTA OTC setaside, or a combination of both.

A single PTA NOFA will include the entire \$1,600,000 ED PTA as well as the General PTA (see below).

2. The California Community Economic Enterprise Fund (Enterprise Fund) component: State regulations Section 7062.1(d) stipulates that, based upon prior years' demand, HCD will determine what percentage of the ED funds will be set aside for Enterprise Fund applications. The Enterprise Fund monies are set aside for this competitive component, which reserves funds for grantees to make loans to businesses to create or retain jobs, fund infrastructure improvements needed to accommodate business expansion or retention projects, or to fund micro-enterprise assistance activities that will foster the development of micro-enterprises. Projects for which \$250,000 or more of CDBG funds are requested must be reviewed by the CDBG ED Loan Advisory Committee. CDBG reserves the option to take projects that are under but near this threshold to the ED Loan Advisory Committee. The amount that will be allocated to this component will be 40 percent of the ED balance (after subtracting PTA funding), equaling \$4,524,442 for FY 2006/2007.
3. The "Over-the-Counter" (OTC) ED component: Health and Safety Code Section 50834 requires that a separate training manual and NOFA be issued for this component, which funds "ready to go" business expansion or retention projects. In 2006/2007, HCD will allocate the remaining 60 percent of the ED balance for this component, equaling \$6,786,664. HCD will entertain applications on an ongoing basis, and make funding decisions as submitted applications are finalized and reviewed.

The General Allocation: This component receives those funds remaining after the mandated set-asides described above are satisfied, equaling \$25,590,543 for FY 2006/2007. The general allocation contains two funding mechanisms:

1. "General" PTA: The California Health and Safety Code Section 50833(b), as amended effective January 1, 2006, states HCD shall determine and announce in the NOFA the percentage of the general CDBG allocation to be set aside for general planning and technical assistance grants. This amount will be \$2,250,165 for FY 2006/2007. This amount represents 8.8 percent of the general allocation rather than the usual 10 percent. The percentage decrease is to allow an increase in the amount of PTA funds that may be used for ED PTA grants. General PTA has been undersubscribed for many years while ED PTA has been oversubscribed. Like the ED PTA single-funding cycle component above, HCD will make these dollars available through a single-funding cycle this year, as announced in the annual NOFA.

Funding decisions are based on a first-come, first served basis, applying eligibility threshold criteria.

2. **Annual General Component:** This component forms the mechanism through which a broad variety of CDBG-eligible activities are funded. Through a once-yearly process, applications are received for housing rehabilitation, housing new construction, housing acquisition, community facilities, public services, and public works activities. This component is funded with the remaining 91.2 percent of the general allocation, which is \$23,340,378 for FY 2006/2007. These funds were reserved for applications accepted under the 2005-06 General, Native American and Colonias multi-year NOFA, released January 10, 2005.

### **Program Income**

During FY 2006/2007, the State anticipates CDBG-eligible jurisdictions will likely collect program income in the approximate amount of \$20,000,000. All but a small fraction of these funds will be expended locally in accordance with locally adopted Reuse Plans that have been approved by the State. The remaining funds will be returned to the State for reassignment to grant activities.

Approximately 50 percent of each year's program income is anticipated to be expended through housing rehabilitation and business assistance revolving loan funds with the remainder being expended through revolving loan funds for other activities and in conjunction with other State CDBG grant activities.

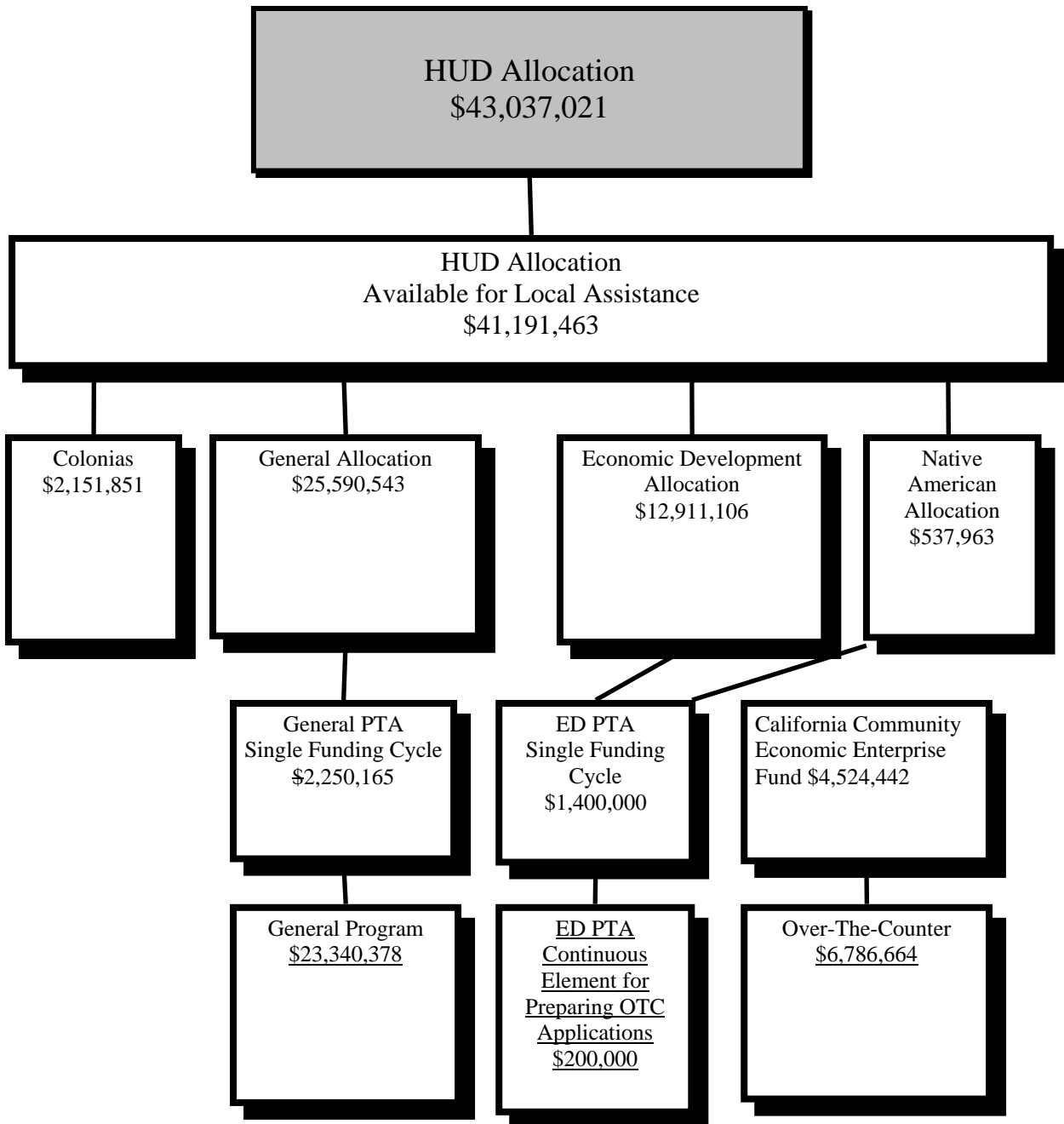
### **Section 108 Loan Guarantees**

The CCR, Section 7062.3 authorizes HCD to pledge future federal allocations, up to \$16 million at a time, of CDBG funds as collateral for federal guarantees of notes or other obligations issued by eligible jurisdictions under the Section 108 Loan Guarantee Program. To date, HCD has not issued any Section 108 loan guarantees and has no immediate plans to do so.

### **Float-Funded Activities**

The State has never undertaken a float-funded activity and has no plans to do so in the near future.

State of California  
CDBG Program  
2006 Allocation



## **Funding Procedures and Application Reviews**

Funds will be distributed pursuant to the methods specified in Title 25 of the CCR, Sections 7056, 7060, 7064, 7072, 7078, and 7062.1, and according to provisions in the California Health and Safety (H&S) Code, Sections 50832, 50833, and 50834. Due to changes made to the California Health and Safety Code, Sections 8032 and 50833, effective January 1, 2006, HCD may now set maximum grant request limitations in each NOFA.

Under the above authority, HCD is considering revising the maximum amount (formerly \$500,000) that an applicant may request from either the General or Economic Development Allocation. Any new funding cap that is established in a NOFA may be waived under the ED Allocation if funding is available after September 1<sup>st</sup> of each program year. In addition, unless a waiver of the funding cap is approved, no single jurisdiction can receive more than the maximum amount established in a NOFA (formerly \$800,000) of total funding from the General and Economic Development Allocations. In addition to the above funding caps, up to \$35,000 may be requested under both the General and Economic Development Allocations for Planning and Technical Assistance (PTA) grants.

Up to 7.5 percent of the funding available for non-PTA grants may be used by the grantee for grant administration expenses. HCD may authorize the use of additional funds, known as “activity delivery funds,” for eligible program implementation costs. Up to 5 percent of the funds available for PTA grants may be used for general administration expenses.

All applications must meet the threshold criteria contained in H&S Section 7056 in order to be funded. Non-PTA applications will then be further evaluated as follows:

- Enterprise Fund applications will be evaluated using the criteria described in CCR Section 7062.1(b). The principal evaluation criteria are local need for the program, local capacity to operate the program, commitment of other funding, and existence of a local ED plan.
- OTC applications will be evaluated as described in CCR Section 7062.1(c), consisting principally of unemployment rate, CDBG funds per job, other funding, past performance, and low administrative funding requested. If the application receives half of the available points under those criteria it is further evaluated for feasibility, capacity, terms, ownership, relocation of jobs, and opportunities for low-income job seekers.

- General, Native American and Colonias applications will be evaluated according to the criteria contained in CCR Section 7078 and be ranked according to the total number of points received. The activities that provide relatively high percentages of benefit to low-income persons and address serious community development needs receive the most points. Other rating factors include community poverty rate, local capacity, past performance, other funding, and the following State objectives.

### **2006 Proposed State Objectives Under the General Allocation**

- Infrastructure Proposals: projects that include public infrastructure in support of housing.
- Farmworker Housing/Health Services: proposals that facilitate the development and/or operation of migrant or permanent farmworker housing or facilitate the provision of health services in combination with farmworker housing.
- Capacity Building: applications from jurisdictions that applied for CDBG funding but fell below the funding cut-off.
- Lump-sum Drawdown: proposals that include housing rehabilitation using the lump-sum drawdown process.

These proposed State objectives were reviewed with the General Allocation Policy Advisory Committee on April 27, 2006 with the goal of establishing a set of State Objectives that will be used for the four years remaining in the 2005-2010 consolidated planning period.

### **Antidisplacement and Relocation Assistance Plan**

Please refer to Appendix L of the State's 2005-2010 Consolidated Plan.

### **Monitoring**

The State CDBG Program will begin to use the risk assessment tool, a slightly modified IFC Kaiser-developed tool, to measure risk associated with all non-planning General Allocation grants to determine which of these grants require on-site monitoring. The remaining low-risk General Allocation grants and all planning grants will receive desk monitoring. All non-planning Economic Development Allocation grants will continue to receive on-site monitoring. On-site monitoring of the highest risk General Allocation grants and all Economic Development Allocation grants, along with desk monitoring of the remaining grants and current tracking system for grantee reporting will ensure long-term compliance with requirements of the program, including the comprehensive planning requirements.

## **Performance Measurement Implementation**

Beginning in April 2006, the State CDBG Program will begin incorporating HUD's new performance measurement system into its application and reporting forms as detailed in with final rule on performance measures published by HUD on March 7, 2006 (FR-4970-N-02).

Applicants will be required to choose one of the following reasons why it wishes to fund each activity in its application:

- 1) Improving availability or accessibility of units or services;
- 2) Improving affordability of housing or other services, or;
- 3) Improving sustainability by promoting viable communities.

In order to answer this question, applicants should ask themselves: *Why am I undertaking this activity?*

Once funded, grantees will be required to collect data on and report on pre-determined specific output indicators, based on the type of activity. Most of these output indicators have been used by CDBG in the past, but several are new. CDBG will begin collecting the new information on its revised report forms which will be included in the 2006 Grant Management Manual, scheduled for release in August, 2006. The revised CDBG reporting forms will also incorporate a new HUD requirement for most housing activities, to collect household demographic information by the address of each beneficiary.

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<b>Home Investment Partnerships Program (HOME)</b>
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**Funds Available**

During FY 2006/2007, the State HOME Program is expected to receive an allocation of \$55,932,660 in HOME funds, and \$935,371 in ADDI funds. In addition, HOME anticipates making available an additional \$5 million in disencumbered funds for a total of approximately \$62,000,000. These funds will generally be offered on a competitive basis to eligible applicants.

A minimum of \$160 million in State funds will be available in FY 2006/2007 to leverage HOME dollars. This includes Proposition 46 funds of at least \$25 million for rural areas and State tax credits of approximately \$135 million set-aside for projects in rural areas. Projects utilizing HOME funds are given priority in the State Tax Credit competition.

The decrease in funds available is due to cuts in the federal appropriation for both HOME and ADDI, resulting in a reduction to the State HOME Program of approximately four percent in HOME funds and a 50 percent reduction in ADDI funds from last year. HOME has also included a more conservative estimate of the amount of disencumbered funds available for reallocation compared to last year's estimates. If more funds become available through disencumbrances, HOME will increase the amount available in FY 2006/2007.

**2006/07 Goals and Objectives**Goal 1:

Meet the housing needs of low-income renter households, including providing homeownership opportunities to first-time homebuyers.

HOME funds will continue to be used to support the development of new rental and ownership housing for all types and sizes of low-income households, including HOME-eligible single and multifamily dwellings located on land owned by a community land trusts.

*Objectives:*

1. Continue streamlining application requirements between HOME and the State's other rental housing programs through the development of a State Universal Rental Application.
2. Continue improvements to the application process for First-Time Home-Buyer (FTHB) programs and projects.

### Goal 2:

Meet the housing needs of low-income homeowners, both new and existing.

HOME funds can be used for both FTHB programs and projects, as well as owner-occupied rehabilitation (OOR) programs. Except for activity delivery costs, lead abatement, and relocation costs, all direct homebuyer assistance must be made in the form of loans. For more information, see Section 8205 of the State HOME regulations located at <http://www.hcd.ca.gov/fa/home/>.

#### *Objectives:*

1. Continue streamlining the State CDBG, HOME, and CalHOME programs through the development of common model program guidelines and a guidelines review checklist for OOR;
2. Explore the development of incentives to encourage deeper income targeting in FTHB programs and projects;
3. Explore the development of incentives to encourage the development of infill housing; and
4. Explore alternatives to fee simple ownership, such as community land trusts and mutual cooperative housing.

### Goal 3:

Address the housing needs of the homeless and other special needs groups, including the prevention of homelessness.

HOME funds can be used for acquisition, rehabilitation, or construction of permanent supportive housing for special needs populations, and transitional housing to provide temporary shelter and services to individuals and families who are currently homeless. HOME can also provide Tenant-Based Rental Assistance (TBRA), including security deposit assistance, to help persons access and maintain housing.

#### *Objectives:*

1. Continue waiving Uniform Multifamily Regulation requirements when a project is jointly funded with HOME and HUD Section 202 funds;
2. Continue targeting HOME funds for Preservation to projects where current affordability levels are maintained;
3. Continue fostering increased use of TBRA funds through increased award amounts, administration amounts, and technical assistance;
4. Continue providing deep targeting funds for rental new construction and rehabilitation projects to help these projects reduce private debt in order to lower rents;
5. Explore the provision of HOME funds for programs and projects located on Indian Reservations; and
6. Research rural supportive housing programs in other states to explore a HOME rural supportive housing component.

## Eligible Applicants

Eligible HOME applicants include:

1. Cities and counties not located in a HUD-designated Participating Jurisdictions (PJs);
2. Cities not participating in an Urban County Agreement with their county PJ;
3. Cities and counties not part of a HOME Consortium; and
4. State-certified Community Housing Development Organizations (CHDOs) proposing eligible activities within the CHDO service area and HOME-eligible locality.

See Appendix A for a list of HOME-eligible cities and counties.

In addition to those localities described above, cities eligible for the State's FY 2006/2007 allocation of ADDI funds also include:

Eligible ADDI Applicants Not Eligible for State HOME Funds				
Alhambra	Davis	Lancaster	Paramount City	Santa Clara
Baldwin Park	Downey	Lynwood	Pasadena	Santa Cruz
Bellflower	El Cajon	Merced	Redding	Santa Monica
Berkeley	El Monte	Montebello	Redwood City	South Gate
Burbank	Escondido	Monterey Park	Richmond	Sunnyvale
Chico	Fullerton	Mountain View	Rosemead	Torrance
Compton	Gardena	National City	Salinas	Vallejo
Corona	Hawthorne	Norwalk	San Buenaventura	Visalia
Costa Mesa	Huntington Park	Orange	San Mateo	Westminster
Daly City	Inglewood	Palmdale	Santa Barbara	Whittier

## Eligible Activities

Pursuant to federal and State HOME regulations, HOME funds can be used for:

- (1) New construction, acquisition, and/or rehabilitation activities;
- (2) Tenant-Based Rental Assistance (TBRA), and;
- (3) Certain administrative expenses.

These activities can occur within programs and projects.

Programs are activities without identified sites at the time of the HOME application. Program funds are provided in the form of grants to eligible cities and counties who then provide grants or low-interest loans to individuals for specified activities. The four types of programs for which HOME funds can be used are first-time homebuyer; owner-occupied rehabilitation; rental rehabilitation with or without acquisition; and tenant based rental assistance (TBRA).

First-Time Homebuyer – provides (1) acquisition loans to qualified first-time homebuyers, with up to \$10,000 for rehabilitation of a principal residence purchased from the open market and, (2) new construction loans for scattered site dwellings, with no more than four dwellings on each scattered site. Each site must be in an existing built-out neighborhood. CHDOs can also apply for funds under (2) above.

Owner-Occupied Rehabilitation - assists low-income homeowners whose primary residence needs repairs or improvements in order to comply with applicable health and safety or building codes.

Rental Rehabilitation and/or Acquisition – assists owners of multi-unit rental properties with rehabilitation needs necessary to comply with applicable health and safety or building codes. These programs can also fund acquisition of multi-unit rental properties in need of rehabilitation. No one property shall receive more than 40 percent of the funds necessary to carry out these activities.

Tenant-Based Rental Assistance - provides rental subsidies to eligible low-income households. Tenant leases cannot exceed 24 months, but can be renewed if additional TBRA funds are secured. In California, there are many market conditions that justify the use of HOME funds for TBRA, including tenant income compared to fair market rent and housing cost burden.

Projects are activities with identified sites at the time of the HOME application. Project funds are provided to eligible cities, counties, and CHDOs in the form of low-interest loans. The three types of projects for which HOME funds can be used are first-time homebuyer, rental new construction and, rental rehabilitation and/or acquisition.

First-time Homebuyer - funds development of a specified number of units on a particular site to be sold to first-time homebuyers. By the conclusion of construction, the entire HOME investment shall be converted to mortgage assistance for the first-time homebuyer.

Rental New Construction – funds development of a specific rental housing project on a specific site by a specific developer.

Rental Acquisition and/or Rehabilitation – funds rental housing acquisition, rehabilitation, or acquisition and rehabilitation of a specific site.

For more information on HOME-eligible activities, see Sections 8201 and 8205 of the State HOME regulations located at <http://www.hcd.ca.gov/fa/home/>.

## **Minority Homeownership**

It is projected that approximately 467 minority households will become homeowners with State HOME and ADDI assistance during 2005-2010. The State estimates that it will assist 120 minority households become homeowners in FY 2006/2007.

## **HOME Recapture Policy**

Pursuant to 24 CFR 92.254 (a) (5), the State HOME Program will utilize the recapture option in its homeownership programs and projects, but may utilize the resale option for limited equity forms of ownership, such as community land trusts. Under the recapture option, HOME will require its subrecipients to pay the outstanding HOME subsidy at the time of resale. However, full repayment will not be required in the case of a resale without net proceeds or insufficient net proceeds to fully repay the HOME subsidy. The term of affordability will end when the HOME subsidy is repaid, in whole or in part, to the State or local HOME Investment Account.

As long as the HOME subsidy is recaptured, other subsidy providers may impose resale restrictions if the price at resale provides the original HOME-assisted owner a fair return on investment, and ensures the housing will remain affordable to a reasonable range of low-income homebuyers.

Under the resale option, the homebuyer may sell the property during the period of HOME affordability provided that the subsequent purchaser is HOME income-eligible, and the property will be used as the purchaser's principal residence. At the time of resale, the HOME subsidy will be assumed by the subsequent purchaser, as well as all of the terms contained in the formal agreement with the homeowner and the limited-equity partners. A lien will also be recorded on the property.

## **American Dream Downpayment Initiative**

FY 2006/2007 State ADDI funds can be used for first-time homebuyer downpayment assistance as well as rehabilitation activities completed within one year of the assisted home purchase. Per federal requirements, the total amount of ADDI funds made available per household cannot exceed the greater of 6 percent of the purchase price of a single-family dwelling, or \$10,000. The total amount of ADDI funds allocated to rehabilitation activities cannot exceed 20 percent of the State's ADDI allocation. However, HOME funds can be used in conjunction with ADDI funds for acquisition/rehabilitation.

The State HOME Program only funds first-time homebuyers for acquisition that may include up to \$10,000 in rehabilitation costs, determining that an applicable portion of all FTHB projects will meet the ADDI requirements. The Program is combining HOME and ADDI funds when providing the funds to HOME eligible jurisdictions implementing FTHB Programs. Participating Jurisdictions will receive only ADDI funds. HCD will conduct an annual review to ensure projects meet the requirements.

The State will include a clause in all FTHB Contracts specifying that the State encourages HOME recipients to market and/or conduct target outreach to residents and tenants of public and manufactured housing and to other families assisted by public housing in their normal marketing plans.

The State will assist jurisdictions ensure suitability of families to undertake and maintain homeownership by requiring program guidelines for the implementation of their FTHB programs; rating on the feasibility of their program; offering technical assistance and training. The State also provides a Sample Homebuyer Program Guidelines and a Homebuyer Program Guidelines Checklist which are a “best practices” technical assistance tools for local jurisdictions, grantees, sponsors or contractors that use State HOME, CDBG or CalHome program funds for homebuyer assistance which includes areas for ensuring suitability of families to undertake and maintain homeownership like counseling.

### **Affirmative Marketing**

Pursuant to Section 92.351, the State HOME Program requires projects of five or more units to adopt affirmative marketing procedures and requirements. Project recipients are trained in affirmative marketing, and monitored on this issue through site visits and desk reviews of marketing procedures, materials, and outcomes.

### **Minority/Women’s Business Outreach**

Pursuant to Section 92.351, the State HOME program requires recipients of HOME funds to adopt procedures for outreaching to minority business enterprises (MBE) and woman business enterprises (WBE) in the procurement of goods and services related to its housing activities. HOME contractors are trained using outreach standards developed by HUD and given resources for finding certified MBE/WBE firms in California. HOME monitors contractor good faith efforts to utilize MBE/WBE. Site visits include a review of HOME contractor files and discussions with HOME contractor staff. Thereafter, the HOME contractor will annually submit MBE/WBE reporting forms to HCD.

## **Match**

Pursuant to Section 8206 of the State HOME regulations, the HOME Program will satisfy the federal 25 percent match requirement by using excess match reported from prior HOME fiscal years, as well as match reported by applicants funded in the current funding round.

## **Antidisplacement and Relocation Assistance Plan**

Refer to CDBG Program narrative beginning on page 7.

## **HOME Allocation Method**

Pursuant to federal and State HOME regulations, a minimum of 15 percent of the total HOME allocation will be set-aside for CHDOs, and a minimum of 50 percent of the total funds awarded will be set-aside for rural area applicants. This percentage was chosen based on a needs study conducted pursuant to 24 CFR 92.201. If there are an insufficient number of eligible applications that qualify for rural points, the remaining rural funding reservation will be used to fund any eligible non-rural applications. State ADDI funds will be combined with HOME funds and distributed according to HOME program requirements. For more information on allocation of funds, see Sections 8212.1 and 8213 of the State HOME regulations located at <http://www.hcd.ca.gov/fa/home/>.

## **Application Process and Rating Criteria**

The anticipated timetable for the award of FY 2005/2006 HOME funds is as follows:

Issue NOFA	May 15, 2006
NOFA Training Workshops	June 2006
Application Deadline	August 15, 2006
HCD Loan and Grant Committee (rental projects)	October 26, 2006
Announce Awards	October 16, 2006 (programs) November 15, 2006 (projects)
Execute Contracts	February 2007

## **Threshold Criteria**

In order to be considered eligible for funding, an application must be submitted by an eligible applicant by the deadline stated in the applicable NOFA. In addition, the application must be complete and all proposed activities eligible.

The total amount requested in an application cannot exceed the amounts specified in the NOFA. Applicants can be held out from a competition because of performance problems with current HOME contracts, or unresolved audit findings.

Project applications must have adequate evidence of site control, as well as a completed Phase I Environmental Review. These applications must also demonstrate financial feasibility and certify there are no pending lawsuits preventing implementation of the project as proposed. Rental projects must also demonstrate compliance with Article 34 of the California Constitution.

Pursuant to recent changes in the State HOME regulations, applicants for program activity funds with one or more current State HOME contracts must have expended at least 50 percent of the aggregate total of program funds originally awarded under these contracts to be eligible to apply for additional program activity funds. The 50 percent expenditure rule for programs was enacted as a way to improve HOME's expenditure rate. The State's HOME Program ranks in the bottom 10 percent of all States in expenditure of HOME funds. In the last few years, HOME has disencumbered more than \$18 million from expired program activity contracts. Permitting programs to apply for more funds only when they have expended at least 50 percent of their current HOME funds will prevent funds from being tied up unnecessarily, and enable us to direct funds to those activities where the money can be expended faster.

## **Rating Factors**

Programs, projects, and ADDI applicants compete separately. ADDI applications are evaluated using the same criteria as other program applications.

HOME uses several rating factors to evaluate proposed programs and projects:

Applicant Capability: examines past performance on HOME contracts, as well as experience with other similar projects.

Community Need: examines Census data relative to need in the locality where the program or project is being proposed.

Feasibility: examines the extent to which the guidelines of a proposed program comply with HOME requirements; the cost reasonableness of a proposed project, and the number of proposed HOME-assisted units.

Readiness: examines the applicant's timeline and development plan, as well as the status of local government approvals and financing commitments for a project.



Housing Element Compliance: examines whether a city or county housing element is in substantial compliance with State law. CHDOs and newly formed cities receive full points in this rating category. Applicants also receive points for proposing activities in a jurisdiction that has given up its HOME formula allocation to compete in the State HOME Program. Rural applicants and applicants addressing one or more identified State Objective also receive additional points in the competition. For more detailed information on each of these rating criteria, please see Section 8212 of the State HOME regulations located at <http://www.hcd.ca.gov/fa/home/>.

State Objectives: HOME may award up to 50 points to applications submitted under its 2006 NOFA that provide deeper affordability.

### **Over-The Counter (OTC) NOFA for Programs**

In addition to the main competitive NOFA, HOME will also issue an OTC NOFA in FY 2006/2007 for programs that were ineligible to apply under the main NOFA because they had not reached the 50 percent expenditure level by the application deadline for the main NOFA. Once they have reached the 50 percent level, programs can apply for and receive OTC funds as long as their application meets all of the threshold criteria set forth in Section 8212.(a) of the State HOME regulations.

### **Monitoring**

There are several levels of oversight which the HOME Program undertakes to monitor activities carried out in furtherance of the Annual Plan. Principally, the Program relies on the standards set forth in federal and State statutes and regulations applicable to the HOME Program to govern its actions. However, HOME also consults regularly with applicants and grantees for feedback on priorities the Program should undertake, and on how to improve its internal procedures to improve Program implementation. HOME also continually revises its set-up documentation and ongoing, close-out, and long-term monitoring procedures so that monitoring on compliance with HOME fiscal requirements and federal overlays happens when most appropriate in the development of a project. Currently, HOME does a combination of desk monitoring and site visits which involve ongoing communication with its grantees.

Internally, HOME monitors performance in meeting its Annual Plan goals and Objectives through weekly management team meetings, and bi-weekly State Recipient and CHDO meetings. Goal setting is also done at least once a year where program policy and implementation issues are discussed, and priorities for the coming year are developed which are incorporated into the Annual Plan.

In addition to the monitoring procedures described for program requirements, HOME currently monitors grantee expenditure rates by requiring submission of monthly project reports which track progress on activity implementation, as well quarterly expenditure reports.

### **HUD Performance Measures**

HUD has established Performance Measurements that will become mandatory for every formula grantee to begin reporting on in Fall 2006 for inclusion in the FY 2006/2007 CAPER. These measurements have been designed to enable grantees and HUD to inform Congress, OMB, and the public of the outcomes of the covered programs. The goal is to begin focusing on more outcome-oriented information and be able to aggregate results across the broad spectrum of programs funded by these block grants at the city, county, and state level.

For all activities, the State HOME Program will use “Providing Decent Affordable Housing” as the primary objectives and “Improving Affordability” will be the primary outcome measurement. The Program will collect performance measurement data from borrowers and grantees through the Set-up and Project Completion Reports. Most of the data to be collected for HOME under this new system is already being provided by State Recipients and CHDOs through the Set-up and Completion Reports. However, HCD has recently completed modifications to these reports to capture new data elements, and will begin using the new reports in Spring 2006. To ensure data is consistent for reporting in the Consolidated Annual Performance and Evaluation Report (CAPER) in Fiscal Year 2006/2007, the Program will accept only the new forms after July 1, 2006.

## **Federal Emergency Shelter Grant Program (FESG)**

### **Eligible Applicants**

FESG-eligible applicants are cities and counties that do not receive FESG funds directly from HUD, as well as 501(c)(3) nonprofit organizations that provide FESG-eligible activities in these localities. Pursuant to State FESG regulations, as of the publication date of the applicable NOFA, an eligible organization must have provided FESG-eligible activities continuously each day throughout the prior 12 months, or for winter or summer-only shelter providers, each day throughout the region's prior winter or summer shelter season. This experience requirement does not apply to new programs as described below.

### **Eligible Activities**

Types of programs eligible for FESG funds include day centers, overnight emergency shelters, transitional housing, and emergency hotel vouchers for homeless persons. Homeless prevention programs are also eligible, including programs providing temporary rental assistance to prevent eviction or assist with move-in costs. For more information on specific State requirements related to these types of programs, see Sections 8406-8409 of the State FESG regulations located at [www.hcd.ca.gov/fa/fesg/](http://www.hcd.ca.gov/fa/fesg/).

Pursuant to federal and State FESG regulations, eligible activities within these types of programs include facility operations, essential services, (limited to 30 percent of the total State allocation), supervisory shelter administration (limited to 10 percent of the individual grant amount), grant administration (limited to 1 percent of the individual grant amount), homeless prevention activities (limited to 30 percent of the total State allocation), and renovation, conversion, or major rehabilitation. Applicants will be discouraged from using FESG funds for capital development activities until all EHAP Proposition 46 funds have been allocated. (The FESG NOFA includes a statement recommending that organizations needing funds for capital development apply to EHAP Capital Development to fund these activities.) The State will request a waiver of the 30 percent limitation on essential services. The federal match requirement will be satisfied by providing evidence of current State expenditures on activities serving the homeless. The match requirement will not be passed down to FESG grantees.

### **Funds Available**

FESG is expected to receive an allocation of \$6,698,794 from HUD during FY 2006/2007. In addition, the FESG Program will continue to reallocate any disencumbered funds from expired contracts. These funds will be made available on a competitive basis to cities and counties within California that do

not receive a FESG allocation directly from HUD, as well as nonprofit organizations operating homeless programs within these same localities. During FY 2006/2007, there will be an estimated 41 counties and 114 cities in ineligible counties that will be eligible to receive FESG funds. A complete listing of eligible jurisdictions is included as Appendix A.

State funding for homeless programs is available primarily through the Emergency Housing and Assistance Program (EHAP). In 2005, \$4 million in State general fund dollars was available to support shelter operations and temporary rental assistance to prevent homelessness. This funding is proposed to decrease to \$3.1 million in 2006. An estimated \$37 million in Proposition 46 bond funds will be available annually for the next 2.5 years to support capital development activities for emergency and transitional housing. This increase was a result of disencumbered funds from Proposition 46 previous funding rounds.

### **FESG Allocation Method**

In accordance with State FESG Regulations enacted in July 2004, the FESG allocation will be divided into five smaller allocations described below. Note that Regional Allocation percentages are based on past demand for FESG funds in the region.

New Programs Allocation (5 percent): The purpose of the New Programs Allocation is to foster the development of new capacity to serve the homeless. To qualify as a New Program under this allocation, a program must have been in operation for less than two years from the date of the applicable NOFA, and the organization applying on behalf of the program must not have received State FESG funds or EHAP funds in the previous two funding rounds.

Northern California Allocation (33 percent): available to programs in FESG-eligible localities within Northern California Urban counties.

Southern California Allocation (24 percent): available to programs in FESG-eligible localities within Southern California Urban counties.

Rural Allocation Region (19 percent): available to programs in FESG-eligible localities within nonurban counties.

General Allocation (15 percent): available to programs that do not rank high enough to be funded out of the regional or New Programs allocations. All programs not funded out of these allocations can receive General Allocation funds based on a statewide ranking of their application score.

<b>FESG FY 2006/2007 Allocation</b>		
	<b>Percentage</b>	<b>Anticipated Amount for 2006/2007</b>
New Programs	5%	\$ 334,940
Northern California	33%	\$ 2,210,602
Southern California	24%	\$ 1,607,710
Rural	19%	1,272,771
General	15%	\$ 1,004,819
State Administration	4%	\$ 267,952
<b>TOTAL</b>	<b>100%</b>	<b>\$ 6,698,794</b>

### **Application Process and Rating Criteria**

In March 2006, FESG released its annual NOFA and held two application training workshops (March 9<sup>th</sup> in Sacramento and March 15<sup>th</sup> in Upland). State regulations permit the maximum grant amount per application to be established in the NOFA based on 2-4 percent of the available annual State FESG allocation. State regulations also permit the Department to give applicants the option of applying for 12- or 24-month grants.

Per State regulations, applicants are rated in four main areas: Applicant Capability (300 points); Need for Funds (100 points); Impact and Effectiveness of the Client Housing (250 points); Cost Efficiency (100 points) and State Objectives (35 points). For a detailed description of each of the rating factors under these categories, see Section 8411 of the State FESG regulations located at [www.hcd.ca.gov/fa/fesg/](http://www.hcd.ca.gov/fa/fesg/).

### **Monitoring Activities**

The State FESG Program will continue to use the risk assessment tool to measure risk associated with all grantees to determine which grantees require on-site monitoring. On-site monitoring of the highest risk grantees along with the current tracking system for grantee reporting will ensure long-term compliance with requirements of the Program, including the comprehensive planning requirements.

### **Goals and Objectives**

#### Goal 1:

Continue to address the needs of FESG-eligible communities for emergency shelter, transitional housing, and assistance to prevent homelessness.

FESG will continue to fund emergency shelter, transitional housing, and homeless prevention programs serving homeless and low-income individuals and families. FESG will continue to award application rating points based on factors including: the number and accessibility of supportive services provided to clients, staff to client ratios, and client outcome measures. Because of the availability of State bond funds for the development of emergency shelter and transitional housing, FESG will discourage use of its funds for renovation, major rehabilitation and conversion.

*Objectives:*

1. Continue outreach efforts to inform programs serving the homeless about the availability of FESG funds. Current outreach efforts include maintenance of an extensive NOFA mailing list of homeless service organizations; participation in regional and statewide meetings and conferences on homelessness; maintenance of an FESG webpage which includes application information, and participation in State inter-agency events concerning issues relevant to homelessness.
2. Award FESG funds according to the following anticipated timetable:

Release NOFA and Application	March 2006
Hold Application Training Workshops	March 2006
Rate and Rank Applications Received	April--July 2006
Announce Awards	August 2006
Execute Contracts	October 2006

Goal 2:

Monitor and Assess the Implementation of new State regulations.

In July 2004, the State FESG Program enacted its first set of regulations governing the allocation of FESG funds. Staff will monitor and assess the new regulations to ensure that implementation of any of the requirements is not resulting in any unintended consequences.

*Objectives:*

1. Staff analyzed data from the 2005 applicant pool to determine trends in the award of funds by program type, size, and subpopulation served. Significant imbalances that appear among any of these factors will be examined closely to see what in the application rating process may have contributed to an imbalance.

2. Prior to developing the 2006 NOFA, FESG staff analyzed each rating question to determine if non-regulatory changes can be made to achieve fair and reasonable scoring, taking into account each question's policy goal and prior year's score distribution.

Goal 3:

Award State Objective points to programs serving the chronically homeless.

State regulations currently permit the FESG Program to award up to 35 points to programs meeting a federal funding priority as publicly announced by HUD. Consistent with this, programs serving the chronically homeless will receive State objective points in the 2006 funding round.

*Objectives*

1. Prior to release of the NOFA and application, FESG staff determined how to best solicit information from applicants regarding service to chronically homeless.
2. Pursuant to Section 8411(b)(5)(A) of the State FESG regulations, FESG also determined if particular types of facilities or programs serving the chronically homeless should receive State Objective points due to an imbalance in the types of programs funded in the 2005 funding round.

Goal 4:

Focus monitoring efforts on programs with difficulties reporting and low drawdown rates.

During FY 2006/2007, FESG will focus its grantee monitoring efforts on those programs that did not expend prior year contracts in a timely manner. This will include programs that had unspent funds at the end of their contract term, as well as programs whose expenditure rate over the term of the grant was very uneven. Programs with reporting difficulties will also be monitored.

*Objectives:*

1. During FY 2006/2007, FESG will monitor a minimum of eight programs with low expenditure rates or reporting difficulties.
2. FESG will simplify reporting requirements, and provide additional technical assistance and monitoring support to grantees regarding completion of Program Activity Reports (PARs), which must be submitted to draw-down FESG funds.
3. FESG will provide a Grant Management training workshop for current and new grantees in October 2006 in Sacramento and southern California. This will provide a review and follow-up to existing grantees and training early for inexperienced grantees. FESG's Grant Management Manual was updated in January 2006.

## **Performance Measurement**

Under FESG, beginning in April 2006, the State FESG Program will begin incorporating HUD's new performance measurement system into its application and reporting forms. The FESG Program will input submitted subgrantee reporting data collected via the "Annual Performance Report".

FESG will begin collecting the new information on its revised report forms which will be included in the 2006 Grants Management Manual. Revisions to the FESG reporting forms will reflect changes to the IDIS system. Once funded, grantees will be required to collect data and report on pre-determined specific output indicators based on the type of activity. The FESG output indicators used in prior annual performance reports may change but no major changes are anticipated.



## **Housing Opportunities for Persons with AIDS (HOPWA)**

The purpose of the Housing Opportunities for Persons with AIDS (HOPWA) Program is to devise long-term comprehensive strategies for meeting the housing needs of persons living with acquired immunodeficiency syndrome (AIDS) or related diseases and their families. HOPWA is funded by HUD and administered on a statewide level by the California Department of Health Services (DHS), Office of AIDS (OA).

### **Goals and Objectives**

As of December 2005, a total of 58,235 living AIDS cases had been reported since 1981 in the State of California. In addition, 39,687 living HIV cases have been reported since 2002, the year statewide HIV reporting began in California.

Approximately 11.5 percent of persons living with AIDS and 9.9 percent of persons living with HIV in California were reported in the 42 counties outside the Eligible Metropolitan Statistical Areas (EMSAs) served by the State HOPWA Program. This equates to 6,746 persons living with AIDS reported since 1981 and 3,958 persons living with HIV<sup>1</sup>.

On an annual average, approximately 2,280 households that include at least one person living with HIV/AIDS are assisted using the State HOPWA funds. To meet the most urgent needs of PLWA, the following goals have been established:

- Allocate HOPWA funds in a manner that ensures all non-EMSA counties are able to meet the most urgent HIV/AIDS housing needs of the clients within their community and in turn alleviate or prevent homelessness among persons living with HIV/AIDS.
- Assist sponsors in establishing linkages with other mainstream resources (e.g., housing authorities, local Continuum of Care groups, homeless service agencies) through technical assistance and other HOPWA resources.

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<sup>1</sup> Department of Health Services, Office of AIDS <http://www.dhs.ca.gov/AIDS>

HOPWA Performance Chart 1 Planned Goals		Outputs Households	
		HOPWA Assistance	Non-HOPWA
		Goal	Goal
1	Tenant-based rental assistance	25	25
2	Units in facilities supported with Operating Costs. <i>Number of households supported</i>	85	0
3	Units in facilities developed with capital funds and placed in service during the program year <i>Number of households supported.</i>	0	0
4	Short-term rent, mortgage and utility assistance	2,170	100
<b>Housing Development (Construction And Stewardship Of Facility Based Housing)</b>		<b>Output Units</b>	
5	Units in facilities being developed with capital funding but not yet opened (show units of housing planned)	0	0
6	Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to 3 or 10 year use agreements	7	0
7	Adjustment to eliminate duplication (i.e., moving between types of housing)		
	<b>Total unduplicated number of households/units of housing assisted</b>		0
<b>Supportive Services</b>		<b>Output Households</b>	
8	i) Supportive Services in conjunction with HOPWA activities	1,000	1,280
	ii) Supportive Services NOT in conjunction with HOPWA activities	350	5,720
9	Adjustment to eliminate duplication	0	1,350
	<b>Total Supportive Services</b>	1,350	5,650
<b>Housing Placement Assistance</b>			
10	Housing Information Services	1,000	0
11	Permanent Housing Placement	1,200	0
	<b>Total Housing Placement Assistance</b>	2,200	0

## **Leveraged Funds**

In FY 2005/2006, the OA allocated approximately \$3.6 million in Ryan White Care Act Title II (RWCA) funds to counties within the HOPWA State Grantee jurisdictions. Although RWCA funds will be allocated to the HOPWA eligible counties in FY 2006/2007, the amount of funds available is dependant upon the pending re-authorization of RWCA. Currently, RWCA funds are allocated for primary medical care and supportive services including case management and emergency rent assistance.

The OA also administers the \$1,000,000 statewide Residential AIDS Licensed Facilities (RALF) Program that provides annual operating subsidies to licensed residential facilities for persons living with HIV/AIDS. In a few counties, service providers have been successful in obtaining Shelter Plus Care contracts or advocating for priority Section 8 vouchers for persons living with HIV/AIDS, and awarded funding through the McKinney Supportive Housing Program for the operation of transitional and permanent housing. Additionally, some service providers receive private foundations funds that are used to provide shallow rent subsidies or pay for facility operating costs.

## **Performance Measurement**

Pursuant to HUD reporting guidance issued February 10, 2006, the HOPWA Program's overall outcome is that assisted households have been enabled to better maintain a stable living environment in housing that is safe, decent, and sanitary, and to reduce the risks of homelessness, and improve access to HIV treatment and other health care increases through the use of annual resources with the goal that this reaches 80 percent by 2008.

The OA will adopt the performance indicators that have been established pursuant to the Housing Opportunities for Persons with AIDS Annual Progress Report (APR) and Consolidated Annual Performance and Evaluation Report (CAPER) issued by the HUD Community Planning and Development on February 10, 2006. Sponsors will be provided the necessary tools to begin reporting during FY 2006/2007, and full implementation will be in affect by FY 2007/2008.

## **Availability of Funds/Eligible Applicants**

FY 2006/2007 funds will be distributed by a formula allocation process to 42 counties located outside of HOPWA Eligible Metropolitan Statistical Areas (EMSAs). The funds are distributed annually on a non-competitive basis to selected health service departments, housing agencies and nonprofit corporations (collectively referred to as sponsors). The formula used to allocate HOPWA funds is based upon the number of persons living with AIDS, as reported to the OA HIV/AIDS Case Registry as of December 31<sup>st</sup>. Although HIV

reporting has begun in California, the allocation formula will continue to be based on reported AIDS cases until the HIV reporting method used by California has been accepted by the Federal Center for Disease Control (CDC).

Approximately, \$2,795,000 will be allocated through the formula allocation process. Based on local HIV/AIDS housing and supportive service needs assessments, each locality will determine the HOPWA activities to be funded and the amount of funds from its allocation that will be applied toward each selected activity. The OA strongly encourages counties receiving an allocation of more than \$100,000 and reporting 100+ AIDS cases use at least 15 percent of their annual formula allocation to develop longer term housing activities including, but not limited to, planning, development, tenant-based rental assistance, project-based rental assistance and operating subsidies for housing facilities.

A complete listing of all HOPWA eligible counties is included as Appendix A. Eligible applicants include: (1) currently funded HOPWA sponsors, (2) newly approved agencies, and (3) interested applicants eligible to provide services Inyo, Mono, Shasta, Trinity and Alpine counties, which are counties that are without a sponsor.

Under the federal Ryan White Comprehensive AIDS Resources Emergency (CARE) Act, the federal government provides funds for local HIV Care groups, which serve as advisory committees for allocation of funds to contractors for providing services to persons with HIV/AIDS. These groups develop needs-based individualized systems for delivery of services in their geographical areas. The selected HOPWA sponsors are participants in this HIV planning and service delivery process. Although some HOPWA sponsors may provide direct services, funds are most often disbursed through various HIV/AIDS housing and service providers in their locality to provide a wider range of housing-related services.

HOPWA funds may be used for supportive services; however, most care and support, including case management, is provided to clients through other programs also administered by the OA such as the Care Services Program, Case Management Program and Medical Waiver Program, Early Intervention, Education and Prevention, AIDS Drug Assistance Program and CARE Health Insurance Premium Payments. A complete list of eligible HOPWA activities is included in Appendix C.

To promote longer term housing assistance, the OA will allocate any previous year unspent funds that become available to those counties reporting 100 plus reported AIDS cases for longer term housing activities. The method of distribution will be a need and performance based allocation process, either competitive or formula based depending upon the amount of funds available. Eligible sponsors may include current sponsors and interested nonprofit HIV/AIDS service or housing organizations or local government agencies within the jurisdictions of the eligible counties. Eligible counties include Fresno, Kern,

Monterey, San Joaquin, San Luis Obispo, Santa Barbara, Santa Cruz, Solano, Sonoma, Stanislaus, and Ventura. Butte, Kings and Tulare counties recently exceeded 100 reported AIDS cases; however, will be considered exempt at this time.

The OA may consider mid-year reallocation of funds should a mid-year review of spending reveal that some counties will not spend funds while other counties are experiencing shortfalls.

### **Coordination of Efforts**

DHS' OA is the State's clearinghouse agency for statewide programs and activities that pertain to HIV/AIDS. The OA emphasizes the integration of representatives of HIV/AIDS service agencies, other State departments (such as Corrections, Housing, Rehabilitation, Mental Health, Developmental Services and Alcohol and Drug Programs), local health departments, University-wide AIDS Research Program (University of California San Francisco), and others in information gathering, research and decision making processes. The annual Interagency AIDS Coordinating Council includes numerous State departments in the review of AIDS service delivery and prevention/education efforts.

Additionally, the OA requires that local planning groups be formed to include representatives of HIV/AIDS service agencies, health department representatives, local affordable housing and homeless agencies, representatives of the post incarcerated populations, and agencies addressing the needs of the mentally ill, substance abusing or other disabled population. These planning groups are charged with the development of local HIV service plans addressing the need for linking care and treatment service agencies with other agencies and clinics providing services to the same population.

Sponsors are encouraged to participate in their local Continuum of Care planning process to ensure that the HIV/AIDS population is represented during the planning process. The OA will provide the counties with contact information and assist them in establishing relationships with the Continuum of Care Planning group in their community.

### **Monitoring**

The OA will conduct on-site monitoring visits to its sponsors and subcontractors to ensure compliance with HOPWA Regulations, federal overlays, and OA contractual obligations. All sponsors that have acquired and/or rehabilitated housing units using HOPWA funds will be monitoring during the program year.

Continuous monitoring and technical assistance will also be provided through telephone communication, quarterly report and monthly expenditure reviews, updates to manuals and management memoranda.

AIDS Housing Washington (AHW) will be utilized to assist counties in developing long-term housing plans and housing programs. The OA will work closely with those counties and AHW.

## Lead Based Paint Hazard Control Program (LEAD)

As indicated in the chart below, California has 11,502,870 pre-1979 units of which 17 percent are pre-1950 housing units. Consequently, children of very low- and low-income families are disproportionately at risk for lead poisoning, as they tend to reside in older housing. In fact, according to the *2000 Census*, there are approximately 3,029,317 very low- and low-income families in California that potentially reside in homes that contain lead-based paint.

<b>Year Structure Built</b>	<b>Total Units per 2000 Census</b>	<b>Owner Occupied Units Per 2000 Census</b>	<b>Renter Occupied Units Per 2000 Census</b>
Pre-1940	1,090,564	560,351	530,213
1940-1949	891,363	496,066	395,297
1950-1959	1,809,151	1,097,727	711,424
1960-1969	1,927,203	1,005,648	921,555
1970-1979	2,353,560	1,260,440	1,093,120
1980 or newer	3,431,029	2,126,005	1,305,024
<b>Total</b>	<b>11,502,870</b>	<b>6,546,237</b>	<b>4,956,633</b>

In response to the need to reduce lead-based paint hazards in pre-1979 low-income privately-owned housing, CSD has and will continue to work in partnership with other State and local agencies, as well as community-based organizations as described below.

### **Funding Allocation**

In October 2004, CSD received a 42-month \$3 million Lead Hazard Control Grant from HUD under Round XI. CSD intends on providing lead hazard reduction services to at least 305 privately-owned housing units occupied by low- to moderate-income families in which a child under the age of six resides or spends a significant amount of time. Housing units meeting income eligibility occupied by a child with elevated blood lead levels are also eligible. Lead hazard control services will be provided in conjunction with weatherization services funded by U.S. Department of Energy Weatherization Assistance Program, and Low-Income Home Energy Assistance Program weatherization services. The strategy combines the benefits of weatherization and minor home repair services with CSD's Lead Hazard Control Program (LHCP).

### **Funding Procedures**

CSD will implement its LHCP in seven counties throughout the State in partnership with five non-profit community-based organizations (CBOs) as follows:

<b>CBO</b>	<b>COUNTIES</b>	<b>UNIT GOAL</b>	<b>ALLOCATION</b>
Community Resource Project	Sacramento, Sutter and Yuba	50	\$435,977
Economic and Social Opportunities	Santa Clara	25	\$204,988
Maravilla Foundation	Los Angeles	90	\$728,514
Redwood Community Action Agency	Humboldt	50	\$405,977
Community Action Partnership of San Bernardino County	San Bernardino	90	\$728,514

In addition, DHS receives annual funding from EPA to implement effective compliance and enforcement of the Lead-Related Construction Program, develops and distributes outreach and education materials, and evaluates and monitors childhood lead exposure.

## **Monitoring**

CSD monitoring activities include review and approval of lead-based paint inspection and risk assessments reports, project design and cost estimates, desk reviews, and periodic field visits to supervise work activities.

## **Five-Year Lead Hazard Control Objectives**

Actions to reduce or eliminate lead-based paint hazards in operation of State housing programs include the following:

1. CSD will implement the HUD-Funded Round XI as described above. CSD will seek out opportunities to diversify funding opportunities to sustain the program.
2. CSD will partner with DHS to develop a quality assurance program to assess participating CBOs' compliance with applicable State, federal and local laws.
3. CSD will monitor the performance of its network of weatherization providers to assure compliance with the lead-safe work practices as outlined in CSD's Weatherization Installation Standards Manual.
4. In awarding funds to rehabilitate housing, HCD and CalHFA require the identification and mitigation of lead-based paint hazards.



5. DHS will continue to implement Title 17, California Code of Regulations, to govern accreditation and certification of the lead hazard control industry and lead hazard control work.
6. DHS will continue to compile information, identify target areas, and analyze information to design and implement a program of medical follow-up and environmental abatement to reduce childhood lead exposure.

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# Certifications

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## STATE CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the State certifies that:

**Affirmatively Further Fair Housing --** The State will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace --** It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual

notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of State --** The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



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**Lynn L. Jacobs**  
**Director**

**5/15/2006**

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**Date**

## Specific CDBG Certifications

The State certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR §91.115 and each unit of general local government that receives assistance from the State is or will be following a detailed citizen participation plan that satisfies the requirements of 24 CFR §570.486.

**Consultation with Local Governments** -- It has or will comply with the following:

1. It has consulted with affected units of local government in the nonentitlement area of the State in determining the method of distribution of funding;
2. It engages in or will engage in planning for community development activities;
3. It provides or will provide technical assistance to units of local government in connection with community development programs; and
4. It will not refuse to distribute funds to any unit of general local government on the basis of the particular eligible activity selected by the unit of general local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

**Local Needs Identification** -- It will require each unit of general local government to be funded to identify its community development and housing needs, including the needs of low-income and moderate-income families, and the activities to be undertaken to meet these needs.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objectives of Title I of the Housing and Community Development Act of 1974, as amended. (See 24 CFR 570.2 and 24 CFR part 570)

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are



designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 200 , , and . (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. **Special Assessments.** The state will require units of general local government that receive CDBG funds to certify to the following:

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

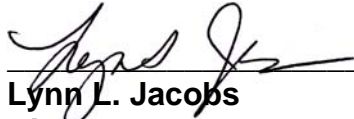
**Excessive Force --** It will require units of general local government that receive CDBG funds to certify that they have adopted and are enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Compliance with Laws** -- It will comply with applicable laws.



Lynn L. Jacobs  
Director

5/15/2006

Date

## Specific HOME Certifications

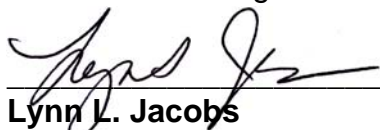
The State certifies that:

**Tenant Based Rental Assistance** -- If it intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the State's consolidated plan.

**Eligible Activities and Costs** -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through §92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

**Appropriate Financial Assistance** -- Before committing any funds to a project, the State or its recipients will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Lynn L. Jacobs  
Director

5/15/2006

Date

## **ESG Certifications**

The State seeking funds under the Emergency Shelter Program (ESG) certifies that it will ensure that its recipients of ESG funds comply with the following requirements:

**Major rehabilitation/conversion** -- In the case of major rehabilitation or conversion, it will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 10 years. If the rehabilitation is not major, the recipient will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for at least 3 years.

**Essential Services and Operating Costs** -- Where the assistance involves essential services or maintenance, operation, insurance, utilities and furnishings, it will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served.

**Renovation** -- Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** -- It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal State, local, and private assistance for such individuals.

**Matching Funds** -- It will obtain matching amounts required under 24 CFR 576.51 and 42 USC 11375, including a description of the sources and amounts of such supplemental funds, as provided by the State, units of general local government or nonprofit organizations.

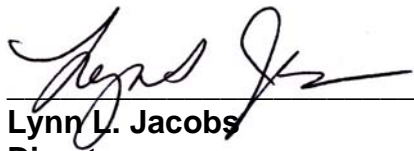
**Confidentiality** -- It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** -- To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under this program, in providing services assisted through this program, and in providing services for occupants of such facilities.

**Consolidated Plan** -- It is following a current HUD-approved Consolidated Plan or CHAS.

**Discharge Policy** -- -- It has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

**HMIS** -- It will comply with HUD's standards for participation in a local Homeless Management Information System and the collection and reporting of client-level information.



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**Lynn L. Jacobs**  
**Director**

**5/15/2006**

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**Date**

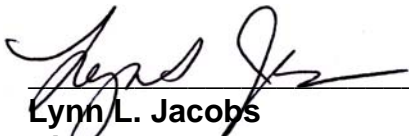
## HOPWA Certifications

The State HOPWA grantee certifies that:

**Activities** -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under the program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of any building or structure purchased, leased, rehabilitated, renovated, or converted with HOPWA assistance,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

  
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**Lynn L. Jacobs**  
**Director**

**5/15/2006**

**Date**

## **APPENDIX TO CERTIFICATIONS**

### **INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:**

#### **A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

#### **B. Drug-Free Workplace Certification**

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. For grantees other than individuals, Alternate I applies. (This is the information to which jurisdictions certify).
4. For grantees who are individuals, Alternate II applies. (Not applicable jurisdictions.)
5. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
6. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).

7. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph five).
8. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

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9. Definitions of terms in the Nonprocurements Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled Substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C.812) and as further defined by regulation (21 CFR 1308.11 through 1308.14);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use of possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g. volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll' or employees or subrecipients or subcontractors in covered workplaces).



# Appendix

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## Appendix A

### Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Alameda</b>	None	None	County not Eligible Alameda Fremont Hayward Livermore Pleasanton San Leandro Union City	None
<b>Alpine</b>	Alpine County	Alpine County	Entire County Eligible	Entire County Eligible
<b>Amador</b>	Amador County Amador City Ione Jackson Plymouth Sutter Creek	Amador County Amador City Ione Jackson Plymouth Sutter Creek	Entire County Eligible	Entire County Eligible
<b>Butte</b>	Butte County Biggs Gridley Oroville	Butte County Biggs Gridley Oroville Paradise	Entire County Eligible	Entire County Eligible
<b>Calaveras</b>	Calaveras County Angels Camp	Calaveras County Angels Camp	Entire County Eligible	Entire County Eligible
<b>Colusa</b>	Colusa County Colusa Williams	Colusa County Colusa Williams	Entire County Eligible	Entire County Eligible
<b>Contra Costa</b>	None	None	County not Eligible. Antioch Concord Pittsburg Richmond Walnut Creek	None
<b>Del Norte</b>	Del Norte County Crescent City	Del Norte County Crescent City	Entire County Eligible	Entire County Eligible
<b>El Dorado</b>	El Dorado County Placerville South Lake Tahoe	El Dorado County Placerville South Lake Tahoe	Entire County Eligible	None

## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Fresno</b>	County not eligible Firebaugh Fowler Huron Orange Cove Parlier San Joaquin	County not eligible Clovis Firebaugh Huron Orange Cove Parlier San Joaquin	County not Eligible Clovis Firebaugh Huron Orange Cove Parlier San Joaquin	Entire County Eligible
<b>Glenn</b>	Glenn County Orland Willows	Glenn County Orland Willows	Entire County Eligible	Entire County Eligible
<b>Humboldt</b>	Humboldt County Arcata Blue Lake Eureka Ferndale Fortuna Rio Dell Trinidad	Humboldt County Arcata Blue Lake Eureka Ferndale Fortuna Rio Dell Trinidad	Entire County Eligible	Entire County Eligible
<b>Imperial</b>	Imperial County Brawley Calexico Calipatria El Centro <i>(eligible only for Colonias Allocation)</i> Holtville Imperial Westmorland eligible only for Colonias Allocation	Imperial County Brawley Calexico Calpatria El Centro Holtville Imperial Westmorland	Entire County Eligible	Entire County Eligible
<b>Inyo</b>	Inyo County Bishop	Inyo County Bishop	Entire County Eligible	Entire County Eligible
<b>Kern</b>	County not Eligible. Delano McFarland Taft Wasco	County not Eligible. Delano McFarland Taft Wasco	County not Eligible. Delano McFarland Taft Wasco	Entire County Eligible
<b>Kings</b>	Kings County Avenal Corcoran Lemoore	Kings County Avenal Corcoran Lemoore Hanford	Entire County Eligible	Entire County Eligible
<b>Lake</b>	Lake County Clearlake Lakeport	Lake County Clearlake Lakeport	Entire County Eligible	Entire County Eligible

## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Lassen</b>	Lassen County Susanville	Lassen County Susanville	Entire County Eligible	Entire County Eligible
<b>Los Angeles</b>	County not Eligible. Hidden Hills Industry Palos Verdes Estates Vernon	County not Eligible. Artesia Avalon Carson Cerritos Glendora Hidden Hills Industry Lakewood Palos Verdes Estates Pico Rivera Redondo Beach Santa Clarita Vernon West Covina	County not Eligible. Alhambra Artesia Avalon Baldwin Park Bellflower Burbank Carson Downey Gardena Glendora Hawthorne Hidden Hills Huntington Park Industry Lakewood Lancaster Lynwood Montebello Monterey Park Norwalk Palmdale Palos Verdes Estates Paramount City Pico Rivera Redondo Beach Rosemead Santa Clarita Santa Monica Torrance West Covina Whittier Vernon	None
<b>Madera</b>	Madera County Chowchilla	Madera County Chowchilla Madera	Entire County Eligible	Entire County Eligible
<b>Marin</b>	None	None	Entire County Eligible	None
<b>Mariposa</b>	Mariposa County	Mariposa County	Entire County Eligible	Entire County Eligible

## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Mendocino</b>	Mendocino County Fort Bragg Point Arena Ukiah Willits	Mendocino County Fort Bragg Point Arena Ukiah Willits	Entire County Eligible	Entire County Eligible
<b>Merced</b>	Merced County Atwater Dos Palos Gustine Livingston Los Banos	Merced County Atwater Dos Palos Gustine Livingston Los Banos	Entire County Eligible	Entire County Eligible
<b>Modoc</b>	Modoc County Alturas	Modoc County Alturas	Entire County Eligible	Entire County Eligible
<b>Mono</b>	Mono County Mammoth Lakes	Mono County Mammoth Lakes	Entire County Eligible	Entire County Eligible
<b>Monterey</b>	Monterey County Carmel Del Rey Oaks Gonzales Greenfield King City Marina Pacific Grove Sand City Soledad	Monterey County Carmel Del Rey Oaks Gonzales Greenfield King City Marina Monterey Pacific Grove Sand City Seaside Soledad	Monterey County and all jurisdictions eligible except for Salinas	Entire County Eligible
<b>Napa</b>	Napa County American Canyon Calistoga St. Helena Yountville	Napa County American Canyon Calistoga Napa St. Helena Yountville	Entire County Eligible	Entire County Eligible
<b>Nevada</b>	Nevada County Grass Valley Nevada City Truckee	Nevada County Grass Valley Nevada City Truckee	Entire County Eligible	Entire County Eligible

## Eligible Jurisdictions by Program

### FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Orange</b>	County not Eligible San Juan Capistrano	County not Eligible Aliso Viejo Buena Park Fountain Valley La Habra Laguna Niguel Lake Forest Mission Viejo Newport Beach Rancho Santa Margarita San Clemente San Juan Capistrano Tustin Yorba Linda	County not Eligible Buena Park Costa Mesa Fountain Valley Fullerton Huntington Beach Irvine La Habra Laguna Niguel Lake Forest Mission Viejo Newport Beach Orange Rancho Santa Margarita San Clemente San Juan Capistrano Tustin Westminster Yorba Linda	None
<b>Placer</b>	Placer County Auburn Colfax Lincoln Loomis Rocklin	Placer County Auburn Colfax Lincoln Loomis Rocklin Roseville	Entire County Eligible	None
<b>Plumas</b>	Plumas County Portola	Plumas County Portola	Entire County Eligible	Entire County Eligible
<b>Riverside</b>	County not Eligible Calimesa Coachella Indian Wells Rancho Mirage	County not Eligible Calimesa Coachella Hemet Indian Wells Palm Desert Palm Springs Rancho Mirage	County not Eligible Calimesa Coachella Corona Hemet Indian Wells Moreno Valley Palm Desert Palm Springs Rancho Mirage	None
<b>Sacramento</b>	None	None	County not Eligible Citrus Heights Elk Grove Rancho Cordova	None

## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>San Benito</b>	San Benito County Hollister San Juan Bautista	San Benito County Hollister San Juan Bautista	Entire County Eligible	None
<b>San Bernardino</b>	None	County Not Eligible Chino Hesperia Upland	County not Eligible Apple Valley Chino Chino Hills Hesperia Rancho Cucamonga Rialto Upland Victorville	None
<b>San Diego</b>	None	None	County not Eligible Carlsbad El Cajon Encinitas Escondido La Mesa National City San Marcos Santee Vista	None
<b>San Francisco</b>	None	None	None	None
<b>San Joaquin</b>	None	None	None	Entire County Eligible
<b>San Luis Obispo</b>	County not Eligible Pismo Beach Morro Bay	County not Eligible Pismo Beach Morro Bay	County not Eligible Morro Bay Pismo Beach	Entire County Eligible
<b>San Mateo</b>	None	None	County not Eligible Daly City Redwood City San Mateo South San Francisco	None
<b>Santa Barbara</b>	Santa Barbara County Buellton Carpinteria Guadalupe Solvang	County not Eligible Guadalupe	Entire County Eligible	Entire County Eligible



## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Santa Clara</b>	None	County not Eligible Gilroy Cupertino City Milpitas Palo Alto	Santa Clara County and all jurisdictions eligible <u>except</u> for San Jose	None
<b>Santa Cruz</b>	Santa Cruz County Capitola Scotts Valley	Santa Cruz County Capitola Scotts Valley Watsonville	Entire County Eligible	Entire County Eligible
<b>Shasta</b>	Shasta County Anderson Shasta Lake	Shasta County Anderson Shasta Lake	Entire County Eligible	Entire County Eligible
<b>Sierra</b>	Sierra County Loyalton	Sierra County Loyalton	Entire County Eligible	Entire County Eligible
<b>Siskiyou</b>	Siskiyou County Dorris Dunsmuir Etna Fort Jones Montague Mount Shasta Tulelake Weed Yreka	Siskiyou County Dorris Dunsmuir Etna Fort Jones Montague Mount Shasta Tulelake Weed Yreka	Entire County Eligible	Entire County Eligible
<b>Solano</b>	Solano County Benicia Dixon Rio Vista Suisun City	Solano County Benicia Dixon Fairfield Rio Vista Suisun City Vacaville	Entire County Eligible	Entire County Eligible
<b>Sonoma</b>	None	County not Eligible Petaluma	County not Eligible Petaluma Santa Rosa	Entire County Eligible
<b>Stanislaus</b>	County not Eligible Hughson Newman Riverbank Waterford	County not Eligible Hughson Riverbank	County not Eligible Turlock Ceres Hughson Riverbank	Entire County Eligible

## Eligible Jurisdictions by Program FY 2006/2007

(This table is subject to change. Any such changes will be reflected in program NOFAs and updated in the next Annual Plan)

	CDBG	HOME	ESG	HOPWA
<b>Sutter</b>	Sutter County Live Oak	Sutter County Live Oak Yuba City	Entire County Eligible	Entire County Eligible
<b>Tehama</b>	Tehama County Corning Red Bluff Tehama	Tehama County Corning Red Bluff Tehama	Entire County Eligible	Entire County Eligible
<b>Trinity</b>	Trinity County	Trinity County	Entire County Eligible	Entire County Eligible
<b>Tulare</b>	Tulare County Dinuba Exeter Farmersville Lindsay Woodlake	Tulare County Dinuba Exeter Farmersville Lindsay Porterville Tulare Woodlake	Entire County Eligible	Entire County Eligible
<b>Tuolumne</b>	Tuolumne County Sonora	Tuolumne County Sonora	Entire County Eligible	Entire County Eligible
<b>Ventura</b>	None	County not Eligible Camarillo Simi Valley Thousand Oaks	County not Eligible Camarillo San Buenaventura Simi Valley Thousand Oaks	Entire County Eligible
<b>Yolo</b>	Yolo County West Sacramento Winters	Yolo County West Sacramento Winters Woodland	Entire County Eligible	None
<b>Yuba</b>	Yuba County Marysville Wheatland	Yuba County Marysville Wheatland	Entire County Eligible	Entire County Eligible

## Appendix B

<b>Eligible Jurisdictions Applying/Receiving State CDBG Funds</b>					
	<b>2000- 2001</b>	<b>2001- 2002</b>	<b>2002- 2003</b>	<b>2003- 2004</b>	<b>2004- 2005</b>
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
<b>Alpine County</b>					
Alpine County	A	F	F		A
<b>Amador County</b>					
Amador City				A	
Amador County	A	F		F	
Ione	F	A	F	F	
Jackson	F	F	F	F	
Plymouth	F			F	
Sutter Creek					
<b>Butte County</b>					
Biggs	F	A	F	F	
Butte County	F	A	F		F
Gridley	F	F	F	F	F
Oroville	F	F	F	F	F
Paradise					
<b>Calaveras County</b>					
Angels Camp	F			F	
Calaveras County	F	F	F	A	
<b>Colusa County</b>					
Colusa	F	F	F	F	
Colusa County	F	F	F	F	F
Williams		F	F		F
<b>Del Norte County</b>					
Crescent City	F	F	F	F	F
Del Norte County	F	F	F	F	F
<b>El Dorado County</b>					
El Dorado County	A	F	F	F	F
Placerville					
South Lake Tahoe	F	F	F	F	F
<b>Fresno County</b>					
Firebaugh					F
Fowler	F	F	A		
Huron	F	F	F	F	F
Mendota	F	F	F		
Orange Cove	F	F	F	F	F
San Joaquin	F	F			
<b>Glenn County</b>					
Glenn County	F	F	F	F	F

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
Orland	F		F	F	F
Willows	F	F	F	A	F
<b>Humboldt County</b>					
Arcata	F	F	F	F	F
Blue Lake	F	F		F	
Eureka	F	F		F	F
Ferndale		A			
Fortuna		A		F	
Humboldt County	F	F	F	F	F
Rio Dell	F	A	F	F	F
Trinidad		A			
<b>Imperial County</b>					
Brawley	F	F	F	F	F
Calexico	F	F			
Calipatria	F	F		F	
El Centro	F	F	F	F	
Holtville		F			
Imperial	F	F	F	F	
Imperial County		F	F	F	F
Westmorland	F	F	F		F
<b>Inyo County</b>					
Bishop		A			F
Inyo County		A	F	F	
<b>Kern County</b>					
Delano	F	F	F	F	F
Maricopa					
Ridgecrest					
Taft	F				F
Wasco			F	F	F
<b>Kings County</b>					
Avenal	F	F	F	F	F
Corcoran	F	F	F	F	F
Hanford	F	F	F	F	
Kings County	F	F	F	F	
Lemoore	F	F	F		
<b>Lake County</b>					
Clearlake	F	A	F	F	F
Lake County	F	F	F	F	
Lakeport		F	F	F	
<b>Lassen County</b>					
Lassen County	F	F	F	F	F
Susanville	F	F	F	F	F

	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
<b>Los Angeles County</b>					
Avalon	A				
Bradbury					
Calabasas					
Hermosa Beach					
Hidden Hills					
Industry					
Palos Verdes Estates					
Vernon	A				
<b>Madera County</b>					
Chowchilla	F	F		F	F
Madera					F
Madera County	A	F	F	F	F
<b>Mariposa County</b>					
Mariposa County	A	F		F	
<b>Mendocino County</b>					
Fort Bragg	F	F	F	F	F
Mendocino County	F	F	F	F	F
Point Arena			F	F	F
Ukiah	F	A	F		F
Willits	A	A	F		
<b>Merced County</b>					
Atwater	F	F	F	F	F
Dos Palos				F	
Gustine					F
Livingston	F		F	F	F
Los Banos	F	F		F	
Merced County	F	F	F	F	
<b>Modoc County</b>					
Alturas	F	A	F	F	
Modoc County	F		F	F	A
<b>Mono County</b>					
Mammoth Lakes				F	F
Mono County	F	A	F	F	
<b>Monterey County</b>					
Carmel					
Del Rey Oaks					
Gonzales	F	F	F	F	F
Greenfield	F	F	F	F	F
King City			F		
Marina	F	F	A		F
Monterey County	F	F	F	A	

	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
Pacific Grove	F	F			F
Sand City	F		F		
Soledad	F	F	F	F	
<b>Napa county</b>					
American Canyon		A			
Calistoga		F			F
Napa county					
St Helena				F	
Yountville					
<b>Nevada County</b>					
Grass Valley		F	F	F	F
Nevada City				F	F
Nevada County	F	F	F	F	F
Truckee		F	F	F	F
<b>Orange County</b>					
Aliso Viejo					
San Juan Capistrano	A	A		F	
San Clemente					
<b>Placer County</b>					
Auburn	A				
Colfax	F	F		F	
Lincoln	F	F	F	F	F
Loomis	A				
Placer County	F	F	F	F	F
Rocklin	F				
<b>Plumas County</b>					
Plumas County	F	F	F	F	
Portola	A	F	F	F	
<b>Riverside County</b>					
Calimesa	F	F	F	F	F
Canyon Lake					
Coachella	F	F	F	F	F
Indian Wells					
Rancho Mirage					
<b>San Benito County</b>					
Hollister					
San Benito County	A	F	F	F	F
San Juan Bautista	A				
<b>San Luis Obispo</b>					
Arroyo Grande					
Morro Bay		F		F	
Pismo Beach					

	2000- 2001	2001- 2002	2002- 2003	2003- 2004	2004- 2005
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
<b>San Mateo County</b>					
Atherton					
<b>Santa Barbara County</b>					
Buelton					
Carpinteria					
Goleta					
Guadalupe	F				
Santa Barbara County	F	F	F	F	
Solvang					
<b>Santa Cruz County</b>					
Capitola	F	F		F	
Santa Cruz County				A	
Scotts Valley				A	
<b>Shasta County</b>					
Anderson	F	F		F	
Shasta County	F	F	F	F	
Shasta Lake	A	F	F	F	
<b>Sierra County</b>					
Loyalton		F		F	
Sierra County		F		F	
<b>Siskiyou County</b>					
Dorris	F		F	F	F
Dunsmuir	F	F	F		F
Etna	F	A	F	F	F
Fort Jones		F		F	F
Montague	F	F	F	F	F
Mount Shasta	F	F	F	F	F
Siskiyou County	F	F	F	F	F
Tulelake	F	F	F		F
Weed	F	F	F	F	F
Yreka	F	F	F		
<b>Solano County</b>					
Benicia	F				
Dixon		F	A	F	F
Rio Vista		F			
Solano County	F				
Suisan City	F		A		
<b>Stanislaus County</b>					
Ceres	F				
Hughson		F		F	F
Newman	F		F		
Oakdale		F			

	2000-2001	2001-2002	2002-2003	2003-2004	2004-2005
F= applied and funded; A = applied and NOT funded; Blank = no application received; Crosshatch = not eligible for funding					
Patterson		F			
Riverbank	F	F	F	F	F
Stanislaus County	F	A			
Waterford		F			
<b>Sutter County</b>					
Live Oak	F	F	F	F	
Sutter County	F	F	A		F
<b>Tehama County</b>					
Corning	F	F	F		
Red Bluff	F	F	F	F	F
Tehama	F	F	F	F	F
Tehama County	F	A	F	F	
<b>Trinity County</b>					
Trinity County	F	A	F	F	F
<b>Tulare County</b>					
Dinuba	F	F	F	F	F
Exeter	F	F	F		F
Farmersville	F	F	F	F	F
Lindsay	F	F	F	F	F
Porterville					
Tulare County	F	F	F	F	F
Woodlake	F		F	F	
<b>Tuolumne County</b>					
Sonora	F		F		F
Tuolumne County	F	F	F	F	F
<b>Yolo County</b>					
West Sacramento		F	F	F	F
Winters			A	A	
Yolo County	F			F	F
<b>Yuba County</b>					
Marysville	F	F		F	
Wheatland					F
Yuba County	F	F		F	F



## Appendix C

### HOPWA Eligible Activities

DIRECT HOUSING SERVICES	Definition of Eligible Activities
<b>Short Term Emergency Rent, Mortgage, and Utility Payments (STRMU)</b>	<ol style="list-style-type: none"> <li>1. This assistance must alleviate or prevent homelessness of the tenant or owner occupant of a dwelling.</li> <li>2. Emergency rent, mortgage, and utility payments are limited to no more than 21 weeks in a 52 week period.</li> <li>3. Assistance can include: <ul style="list-style-type: none"> <li>• payment of rent</li> <li>• payment of mortgage</li> <li>• payment of utilities</li> </ul> </li> </ol>
<b>Other Rental Assistance</b> (units assisted under this category must meet HUD Section 8 Housing Quality Standards)	<ol style="list-style-type: none"> <li>1. Project-based rental assistance (Rental subsidies that are provided to residents of certain units within a specific building. When the residents move, the rental subsidy remains with the unit to be used by the next eligible client.)</li> <li>2. Tenant-based rental assistance (Rental subsidies that are provided to the residents to be used in any eligible unit chosen by the client. If the client moves, the rental subsidy remains with the client to be used in another eligible unit.)</li> <li>3. Shared housing arrangements (may be used when two or more people are sharing a home)</li> </ol>
<b>HIV/AIDS Facility Operating Costs</b>	<p>This activity pertains to all costs associated with the ongoing operations of a housing project that targets PLWH. This includes <u>licensed</u> and <u>unlicensed</u> HIV/AIDS <u>facilities</u>. Such costs include:</p> <ul style="list-style-type: none"> <li>• Furnishings</li> <li>• Operational costs (staff, etc.)</li> <li>• Supplies and Materials</li> <li>• Insurance</li> <li>• Utilities</li> <li>• Security</li> <li>• Maintenance</li> <li>• Equipment</li> </ul>

<b>Capital Development Costs</b>	<p>This category includes acquisition of a building or site, new construction, rehabilitation, conversion, lease and repair of facilities to provide housing for persons living with HIV/AIDS. Activities include, but are not limited to, the following:</p> <ul style="list-style-type: none"> <li>• Land acquisition</li> <li>• Acquisition: single family units, apartments, duplexes, single room occupancy hotels, condominiums</li> <li>• Rehabilitation: <ul style="list-style-type: none"> <li>1) Minor or major repairs of building or unit</li> <li>2) Conversion of non-residential building to a residential building</li> </ul> </li> <li>• New Construction: Costs associated with the construction of single room occupancy dwellings and community residences only</li> </ul>
<b>OTHER SERVICES</b>	<b>Definition of Eligible Activities</b>
<b>Technical Assistance</b>	<p><b>These costs <u>must</u> pertain to the establishment and operations of a community residence.</b></p> <ul style="list-style-type: none"> <li>• Planning costs</li> <li>• Community outreach and educational activities regarding AIDS or related diseases for persons residing in proximity to the proposed residence.</li> <li>• Development of long term planning documents</li> <li>• Development of AIDS housing needs assessment</li> </ul>
<b>Resource Identification</b>	<ul style="list-style-type: none"> <li>• Activities specific to identifying housing resources. <b>Does not include housing referral services.</b></li> <li>• Assistance in establishing, coordinating and/or developing housing assistance resources for eligible persons.</li> <li>• Hiring staff or consultants to develop housing finance package for a specific housing project</li> <li>• Conducting preliminary research</li> <li>• Determining feasibility of specific housing-related initiatives</li> <li>• Market studies</li> </ul>
<b>Housing Information Services</b>	<ul style="list-style-type: none"> <li>• Housing Counseling</li> <li>• Information Services</li> <li>• Referral services to assist an eligible person to locate, acquire, finance, and/or maintain housing.</li> <li>• Fair Housing counseling for people who have encountered discrimination on the basis of race, religion, sex, age, national origin, familial status, or handicap.</li> </ul>

<b>Capital Development Costs</b>	<p>This category includes acquisition of a building or site, new construction, rehabilitation, conversion, lease and repair of facilities to provide housing for persons living with HIV/AIDS. Activities include, but are not limited to, the following:</p> <ul style="list-style-type: none"> <li>• Land acquisition</li> <li>• Acquisition: single family units, apartments, duplexes, single room occupancy hotels, condominiums</li> <li>• Rehabilitation: <ol style="list-style-type: none"> <li>1) Minor or major repairs of building or unit</li> <li>2) Conversion of non-residential building to a residential building</li> </ol> </li> <li>• New Construction: Costs associated with the construction of single room occupancy dwellings and community residences only</li> </ul>
<b>OTHER SERVICES</b>	<b>Definition of Eligible Activities</b>
<b>Supportive Services (Funding for Supportive Services may not exceed 25% of the HOPWA contract amount.)</b>	<ul style="list-style-type: none"> <li>• Case management &amp; benefits counseling related to health benefits, nutritional services, personal assistance</li> <li>• Food, nutritional services/meals</li> <li>• Day Care</li> <li>• Drug and alcohol abuse treatment and counseling</li> <li>• Health Services, mental health services, assessments</li> <li>• Intensive care when required</li> <li>• Transportation</li> </ul>
<b>Supportive Services – Permanent Housing Placement (exempt from 25% of HOPWA contract limitation)</b>	<ul style="list-style-type: none"> <li>• Payments for a short term rent in a supported housing facility (Residence is limited to no more than 60 days in a 6-month period) can include motel/hotel vouchers while searching for permanent housing.</li> <li>• Security deposits not to exceed 2 months rent costs</li> <li>• Credit check fees</li> <li>• Move-in costs such as supplies, furnishing, incidental costs, &amp; minor repairs to units (only if connected to scattered sites)</li> <li>• One-time utility hookup and processing costs</li> <li>• Support help to complete permanent housing applications, eligibility screenings, and eligibility for tenancy or utilities</li> <li>• Life skills and housing counseling on unit cleaning, maintenance and household budgeting</li> </ul>

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## Appendix D

### Proposition 46 Housing Programs

As of September 30, 2005

#### Department of Housing and Community Development (HCD) Programs

-	<u>Description</u>	Current/Next NOFA Date	NOFA Amount	Future NOFAs	Web Page	Contact Information
<b><u>Rental Programs</u></b>						
Multifamily Housing Program (MHP) - General	Low-interest loans for development of affordable rental housing.	February 15, 2006 August 2006	\$70 million	2 per year through 2006, 1 for 2007*	<a href="http://www.hcd.ca.gov/fa/mhp/">www.hcd.ca.gov/fa/mhp/</a>	(916) 323-3178 multifamilyhousingsection@hcd.ca.gov
Multifamily Housing Program (MHP) - Supportive Housing	MHP funds reserved for development of units for disabled populations that are homeless or at risk of homelessness.	March 8, 2006	\$525 million	Will supplement for total of \$40 million in 2006, approximately \$25 million in 2007	<a href="http://www.hcd.ca.gov/fa/mhp/">www.hcd.ca.gov/fa/mhp/</a>	(916) 323-3178 multifamilyhousingsection@hcd.ca.gov
Governor's Homeless Initiative	MHP, CalHFA & State DMH funds for supportive housing for persons with severe mental illness who are chronically homeless.	November 15, 2005	\$40 million +	None	<a href="http://www.hcd.ca.gov/fa/mhp/">www.hcd.ca.gov/fa/mhp/</a>	(916) 323-3178 multifamilyhousingsection@hcd.ca.gov
Multifamily Housing Program (MHP) - Services Space	MHP funds reserved for development of space for health and social services connected to MHP projects. Available with MHP General funds.	August 18, 2005 (closed)	Approximately \$2.2 million	None	<a href="http://www.hcd.ca.gov/fa/mhp/">www.hcd.ca.gov/fa/mhp/</a>	(916) 323-3178 multifamilyhousingsection@hcd.ca.gov

<b>Homeownership Programs</b>						
<b>Description</b>		<b>Current/Next NOFA Date</b>	<b>NOFA Amount</b>	<b>Future NOFAs</b>	<b>Web Page</b>	<b>Contact Information</b>
CalHome	Grants and loans by HCD to local public agencies and nonprofits to fund local homeownership programs and developments.	August 12, 2005 (closed)	\$25 million	None	<a href="http://www.hcd.ca.gov/fa/calhome">www.hcd.ca.gov/fa/calhome</a>	(916) 327-3646 mlawson@hcd.ca.gov
Building Equity and Growth in Neighborhoods (BEGIN)	Grants by HCD to local public agencies that adopt measures to encourage affordable housing. Grant funds must be used for downpayment assistance for low and moderate income homebuyers.	February 16, 2006 (closed)	\$27 million	None	<a href="http://www.hcd.ca.gov/fa/begin/">www.hcd.ca.gov/fa/begin/</a>	(916) 327-3646 mlawson@hcd.ca.gov
CalHome Self-Help Housing Technical Assistance Allocation	Grants to organizations to assist low and moderate income households who build their own homes.	June 5, 2005 (Closed)	\$3.9 million	None	<a href="http://www.hcd.ca.gov/fa/cshhp/">www.hcd.ca.gov/fa/cshhp/</a>	(916) 445-9581 dfrankli@hcd.ca.gov
<b>Farmworker Housing</b>						
Joe Serna Jr. Farmworker Housing Grant Program (JSJFWHG)	Grants and loans for development of homeownership housing.	November 8, 2005	\$2.25 million	None	<a href="http://www.hcd.ca.gov/fa/fwhg/">www.hcd.ca.gov/fa/fwhg/</a>	(916) 324-0695 cgaines@hcd.ca.gov
Joe Serna Jr. Farmworker Housing Grant Program (JSJFWHG) - Migrant Housing	JSJFWHG funds reserved for development of housing for migrant farmworkers.	September 6, 2005	\$8.1 million	None	<a href="http://www.hcd.ca.gov/fa/fwhg/">www.hcd.ca.gov/fa/fwhg/</a>	(916) 324-0695 cgaines@hcd.ca.gov

### **Other Programs**

<b>Description</b>		<b>Current/Next NOFA Date</b>	<b>NOFA Amount</b>	<b>Future NOFAs</b>	<b>Web Page</b>	<b>Contact Information</b>
Emergency Housing Assistance Program Capital Development (EHAP-CD)	Deferred loans for rehabilitation, acquisition or new construction of emergency shelters and transitional housing facilities for the homeless (no operating subsidy).	Current NOFA issued 11/3/2005. Urban County applications (closed)  Non-Urban County applications open as of March 22, 2006 (call EHAPCD Program for status)	\$31 million	Two addition annual NOFAs to be issued in November 2006 and November 2007. November 2007 NOFA will be for approx. \$15 to \$25 million	<a href="http://www.hcd.ca.gov/fa/ehap/ehap-capdev.html">www.hcd.ca.gov/fa/ehap/ehap-capdev.html</a>	(916) 445-0845 pdyer@hcd.ca.gov
Workforce Housing Reward Program (WFH)	Grants as financial incentives to cities and counties that issue building permits for new housing units affordable to very low- or low-income households. Note: Program Year runs for the 12 month calendar year and applications are released at the end of each Program Year.	Current NOFA issued May 2005 for 2005 Program Year. Application deadline March 22, 2006 for second round.	\$23 million	One additional NOFA to be released in early 2006 for the 2006 Program Year. Applications will be due March 2007.	<a href="http://www.hcd.ca.gov/fa/whrp/">www.hcd.ca.gov/fa/whrp/</a>	(916) 445-4725

## Proposition 46 Housing Programs

As of February 2004

### California Housing Finance Agency (CalHFA) Programs

	Description	Bulletin Release	Funding Available	First Reservation	Total Available Funds	Web Page	Contact Information
California Homebuyer's Downpayment Assistance Program (CHDAP)	Deferred 3% downpayment assistance loans for first-time moderate income homebuyers.	Jan 29, 2003	\$50 million	Jan 1, 2003	\$111.6 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 322-1353 kogg@calhfa.ca.gov
Homeownership In Revitalization Areas Program (HIRAP)	Deferred downpayment assistance, not to exceed 6% of the sales price, targeted to first-time low income homebuyers purchasing in revitalization areas.	Feb 26, 2003	\$11.9 million	Currently taking reservations	\$11.9 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 322-5277 mmauldin@calhfa.ca.gov
School Facility Fee Downpayment Assistance Program	Downpayment assistance grants for homebuyers of newly constructed homes.	Feb 26, 2003	\$47.5 million	February 2003	\$47.5 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 322-1383 dclark@calhfa.ca.gov
Mortgage Insurance	Mortgage Insurance for home mortgages.	Available	\$80.75 million	January 2003	\$80.75 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 324-3315 gcarter@calhfa.ca.gov
Extra Credit Teacher Home Purchase Program (Extra Credit Teacher Program)	Provides downpayment assistance the greater of 3% of the sales price or \$7,500 (3% or \$15,000 in high cost counties) to eligible teachers, administrators, credentialed staff and certified employees.	Jul 1, 2003	\$23.75 million	July 2003	\$23.75 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 322-1383 dclark@calhfa.ca.gov
Preservation Acquisition Program	Financing to preserve "at risk" government assisted rental developments for low to very low income occupants.	Apr 1, 2003	\$42.75 million	Available	\$42.75 million	<a href="http://www.calhfa.ca.gov">www.calhfa.ca.gov</a>	(916) 324-5698 jliska@calhfa.ca.gov



## Appendix E

### SUMMARY OF CITIZEN COMMENTS

**Comment:** The National Housing Law Project and California Rural Legal Assistance commented about the State's compliance with HUD's Section 3 requirements relating to compliance with federal equal opportunity employment and fair housing laws. They stated that the draft Annual Action Plan did not mention the State's compliance with Section 3 requirements and included no specific Section 3 hiring or contracting goals. They asserted that the failure to adopt at least the minimum Section 3 goals in the Annual Plan make it impossible for the State to certify compliance and file reports regarding Section 3 compliance with HUD, as is required.

**HCD Response:** Although Section 3 was not specifically addressed in the draft Annual Action Plan, this HUD requirement and the goals and objectives are published in the Department's CDBG and HOME Contract Management Manuals which provide a detailed description of Section 3 requirements, including Section 3 triggers, hiring and contracting goals, preferences for providing section 3 opportunities, and compliance tips. These manuals are incorporated into this document by reference and are available on the Department's website at:

- CDBG - <http://www.hcd.ca.gov/fa/cdbg/gmm/> (see Chapter 4, "Equal Opportunity and Fair Housing." A copy of the Section 3 Reporting form is included in Chapter 10, "Reporting" beginning on page 10-55)
- HOME - <http://www.hcd.ca.gov/fa/home/manual2006> (see Chapter 13, "Equal Opportunity Employment and Contracting.")

In addition, the State assists recipients with Section 3 compliance by providing for the following:

- All Standard Agreements include general requirements to comply with Section 3. All recipients are required to notify labor organizations and organizations with which they have collective bargaining agreements of the Recipient's commitments under the Section 3 Clause. Recipients are also required to include the Section 3 Clause in every contract and subcontract in connection with their funded projects.
- Recipients, and their subcontractors if applicable, must submit annual Section 3 compliance reports on the number of Section 3 residents and businesses provided economic opportunities, as well as their Section 3 outreach activities. This information is aggregated and reported to HUD. The Department also describes completion of the Section 3 Report in HCD's Annual Report, and includes copies of the form in the Annual Report. On-site monitoring visits for compliance with program requirements include a review for compliance with Section 3 requirements.

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## Appendix F PUBLIC NOTICES

March 30, 2006

### FOR IMMEDIATE POSTING

### PUBLIC NOTICE FOR COMMENT

#### **Draft 2006/2007 Annual Plan of the State of California's Five Year Consolidated Plan (2005-2010)**

The State of California, Department of Housing and Community Development (Department), is soliciting public review and comment on the Draft 2006/2007 Annual Plan for the State of California's Consolidated Plan FY 2005-2010.

The Consolidated Plan is updated annually and submitted to HUD to enable the State to administer approximately \$112.5 million in federal funds under the Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), Emergency Shelter Grant (ESG) and Housing Opportunities for Persons with AIDS (HOPWA) programs. The State Consolidated Plan is primarily applicable to nonentitlement jurisdictions who are eligible to compete for allocation of these funds by the State.

The FY 2005-2010 Consolidated Plan, for which the annual update has been prepared: 1) identifies housing needs and problems; 2) analyzes market conditions and resources; 3) sets priorities and adopts strategies for addressing the identified needs; 4) describes criteria and procedures for allocating resources; and 5) contains an annex which identifies federal funds expected to be used, indicating the activities on which they will be spent, and sets goals for the number and type of households to be assisted in the applicable federally-funded programs.

The public review period for these documents is 30 days, which begins **April 1, 2006 through April 30, 2006**. HCD must receive all comments on these documents by **April 30, 2006**. Comments may be submitted by mail to the Department at 1800 Third Street, Room 430, Sacramento, CA 95814, fax (916) 327-2643 or email to [jseeger@hcd.ca.gov](mailto:jseeger@hcd.ca.gov). If you have any questions, please contact the Department at (916) 445-4728. The Draft 2006/2007 Annual Plan is available for public review on the Department's website: [www.hcd.ca.gov](http://www.hcd.ca.gov), or at HCD's Housing Resource Center in Room 430 and at the following depository libraries:

California State Library, Govt Publications (Sacramento)	California State Univ., Meriam Library (Chico)
California State University, Library-Govt (Long Beach)	Free Library, Govt Publications (Fresno County)
Public Library, Serials Division (Los Angeles)	Public Library (Oakland)
Public Library, Science & Industry Department (San Diego)	Public Library, Govt Documents Dept (SF)
Stanford University Libraries, Green Library, Govt Docs	University of Cal, Govt Doc Library (Berkeley)
University of Cal., Shields Library, Govt Documents (Davis)	University of California, Research Library (LA)
University of Cal., Govt Documents (San Diego/La Jolla)	University of Cal., Gov Pubs (Santa Barbara)

A limited number of copies of these documents are also available to entities or individuals unable to access one of the above sources. In addition, public hearings will be held in the following locations:

<b>Location</b>	<b>Address</b>	<b>Date/Time</b>	<b>Phone No.</b>
<b>Redding</b>	City Hall 777 Cypress Ave Redding, CA 96001	April 7 <sup>th</sup> (Friday) 10:00 a.m. – 2:00 p.m.	(916) 445-4728
<b>Sacramento</b>	HCD 1800 Third Street, Room 170 Sacramento, CA 95814	April 18 <sup>th</sup> (Tuesday) 10:00 a.m. – 2:00 p.m.	(916) 445-4728
<b>Pico Rivera</b>	Pico Park 9528 Beverly Blvd Pico Rivera, CA 90660	April 25 <sup>th</sup> (Tuesday) 1:30 p.m. – 2:30 p.m.	(916) 322-0918
<b>Visalia</b>	Visalia Convention Center 303 E. Acequia Avenue Visalia, CA 92391	April 27 <sup>th</sup> (Thursday) 1:30 p.m. – 2:30 p.m.	(916) 322-0918

If you have any questions or are in need of translators or special services, please contact the Department's Division of Housing Policy, prior to the hearing dates at (916) 445-4728. For translator or special services needs, please advise the Department within five working days of the hearing in order to facilitate the request.

This proposal has been determined to be EXEMPT from CEQA (Public Resources Code Section 21080.10(b)) and CATEGORICALLY EXCLUDED from NEPA (Title 24 Code of Federal Regulations 50.20(o)(2)).

29 de marzo de 2006

## PARA ANUNCIO INMEDIATO

### AVISO PÚBLICO PARA COMENTARIO

#### **Borrador de la Actualización Anual del Plan Correspondiente a Año Fiscales 2006/2007 del Plan Consolidado del Estado de California**

El Departamento de Vivienda y de Desarrollo Comunitario del Estado de California (HCD) solicita la revisión y comentarios públicos al Borrador del Plan Anual correspondiente a los años fiscales 2006/2007 del Plan Anual Consolidado del Estado de California correspondiendo a los años Fiscales 2005 a 2010.

El Plan Consolidado se actualiza anualmente y es sometido a HUD para permitir que el Estado administre aproximadamente \$109.5 millones en fondos federales para los siguientes programas: Subsidio Colectivo para el Desarrollo Comunitario (CDBG), HOME, Subsidio de Viviendas de Emergencia (ESG) y Oportunidades de Vivienda para Personas con SIDA (HOPWA). El Plan Consolidado del Estado es aplicable principalmente a jurisdicciones que no reciben fondos directamente de HUD, que cumplen con los requisitos para competir por la adjudicación de estos fondos por el Estado.

El Plan Consolidado correspondiente a los años Fiscales 2005 a 2010, por cual se preparó la actualización del plan anual: 1) identifica las necesidades y los problemas de vivienda; 2) analiza las condiciones y los recursos del mercado; 3) fija prioridades y adopta estrategias para dirigirse a las necesidades identificadas; 4) describe los criterios y procedimientos para adjudicar recursos; y, 5) contiene un plan anual que identifica los fondos federales que se espera utilizar, indicando las actividades en las que se invertirán, y establece metas para el número y el tipo de viviendas a ser ayudadas por los programas aplicables financiados por el gobierno federal.

El período de repaso público de estos documentos es de 30 días. Dicho período empieza el **1º de abril de 2006 y termina el 30 de abril de 2006**. El Departamento debe recibir todo comentario sobre estos documentos a más tardar el **30 de abril de 2006**. Comentario pueden mandarse por fax al (916)327-2643, o por correo electrónico a [jseeger@hcd.ca.gov](mailto:jseeger@hcd.ca.gov). Si tiene alguna pregunta llame por favor al Departamento al (916)445-4728. El borrador del Plan Anual correspondiente al año fiscal 2003-2004 es disponible para revisión pública en Sacramento, en el sitio web del HCD: [www.hcd.ca.gov](http://www.hcd.ca.gov), o en el Centro de Recursos de Vivienda del HCD, en la Sala 430, así como en los departamentos de planificación de condados con al menos una jurisdicción que no recibe fondos directamente de HUD, y en las siguientes bibliotecas con acceso a información del gobierno:

California State Library, Government Publications (Sacramento)  
(Chico)  
California State University, Library-Government (Long Beach)  
Public Library, Serials Division (Los Angeles)  
Public Library, Science and Industry Department (San Diego)  
Stanford University Libraries, Green Library, Government Docs  
(Berkeley)  
University of Cal., Shields Library, Government Documents (Davis)  
University of Cal., Government Documents (San Diego/La Jolla)

California State University, Meriam Library  
Free Library, Govt Publications (Fresno Cty)  
Public Library (Oakland)  
Public Library, Govt Documents Dept (SF)  
University of California, Gov Doc Library  
University of California, Research Library (LA)  
University of California, Gov Pubs (Santa

Barbara)

Un número limitado de copias de estos documentos también está a disposición de entidades o individuos que no pueden tener acceso a ninguna de las fuentes que anteceden. Además, se celebrarán audiencias públicas en las siguientes localidades:

Sitio	Dirección	Fecha y hora	Teléfono
<b>Redding</b>	City Hall 777 Cypress Ave Redding, CA 96001	April 7th (viernes) 10:00 a.m. – 2:00 p.m.	(916) 445-4728
<b>Sacramento</b>	HCD 1800 Third Street, Room 170 Sacramento, CA 95814	April 18th (martes) 11:00 a.m. – 3:00 p.m.	(916) 445-4728
<b>Pico Rivera</b>	Pico Park 9528 Beverly Blvd Pico Rivera, CA 90660	April 25th (martes) 1:30 p.m. – 2:30 p.m.	(916) 322-0918
<b>Visalia</b>	Visalia Convention Center 303 E. Acequia Avenue Visalia, CA 92391	April 27th (jueves) 1:30 p.m. – 2:30 p.m.	(916) 322-0918

Si tiene alguna pregunta, necesita direcciones o necesita intérprete o servicios especiales, comuníquese con este Departamento antes de las fechas de las audiencias, llamando al (916) 445-4728. Si necesita un intérprete o servicios especiales, indíquese al Departamento dentro de los cinco días laborables antes de la audiencia, para facilitar el pedido.

Se ha determinado que esta propuesta está EXENTA de CEQA (Sección del Código 21080.10(b), de los Recursos Públicos) y CATEGÓRICAMENTE EXCLUIDA de NEPA (Título 24, Código de Reglamentaciones Federales 50.20(o)(2)).

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